

第四章 自上而下的分析

- ❖ 自上而下分析过程概述
 - ❖ 给定句子从文法开始符号经最左推导构造语法树
 - ❖ 文法为无二义性的上下文无关文法
- ❖ 无回溯的自上而下分析方法(LL(1)分析法)
 - ❖ 左递归导致推导过程死循环—消除文法左递归
 - ❖ 修改文法以避免回溯—修剪文法
 - FIRST(β), FOLLOW(A)
- ❖ 自上而下分析程序的构造
 - ❖ 递归下降分析程序
 - ❖ 预测分析程序

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树: Start

剩余串:

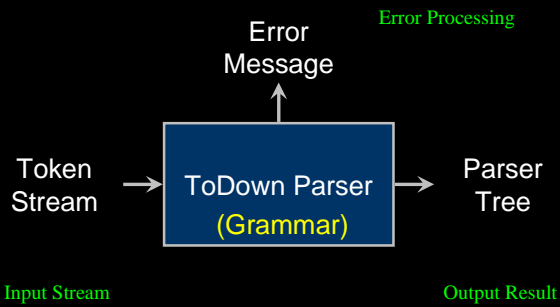
2-2*2

句型:

Start

所用产生式:

4.1 自上而下的分析过程概述



$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树: $Start$
 \downarrow
Expr

剩余串:

2-2*2

句型:

Expr

所用产生式:

Start \rightarrow Expr

程序的结构

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

$S \rightarrow E$
 $E \rightarrow E + T \mid E - T \mid T$
 $T \rightarrow T * i \mid T / i \mid i$

$G = (V_T, V_N, P, S)$
 $Int, i \in V_T$
 $Start, Expr, Term, S, E, T \in V_N$
 $Start = S$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树: $Start$
 \downarrow
 Expr
 $\swarrow \quad \downarrow \quad \searrow$
Expr - Term

剩余串:

2-2*2

句型:

Expr - Term

所用产生式:

Expr \rightarrow Expr - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: 2-2*2
句型: Term - Term
所用产生式: $Expr \rightarrow Term$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: 2*2
匹配 Token: -
句型: 2 - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: 2-2*2
句型: Int - Term
所用产生式: $Term \rightarrow Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: 2*2
句型: 2 - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: 2*2
匹配 Token: -
句型: 2 - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: 2*2
句型: 2 - Term * Int
所用产生式: $Term \rightarrow Term * Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串:
2*2

句型:
2 - Int * Int

所用产生式:
 $Term \rightarrow Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

匹配
Token

剩余串:
2

句型:
2 - 2 * 2

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

匹配
Token

剩余串:
2*2

句型:
2 - Int * Int

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

分析
成功!

剩余串:

句型:
2 - 2 * 2

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

匹配
Token

剩余串:
*2

句型:
2 - 2 * Int

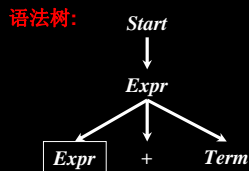
观察

- 三个动作:
 - 应用产生式扩展语法树中的当前非终结符
 - 匹配当前的终结符 (消耗掉输入流中一个Token)
 - 正确接受语法树 (输出结果) 或失败
- 语法分析过程表现为:
 - 先根遍历语法树

存在的问题

- ❖ 扩展当前结点时，可用的产生式规则有多个
- ❖ 分析过程中的回溯问题
 - ❖ 可看作一个搜索问题
 - ❖ 在每个选择的点处试探下个选择
 - ❖ 如果已经明确当前的试探失败，则退回到前一个选择点处并试探不同的选项
- ❖ 分析过程中的死循环问题

Start \rightarrow Expr
 Expr \rightarrow Expr + Term | Expr - Term | Term
 Term \rightarrow Term * Int | Term / Int | Int



剩余串:
2-2*2
句型:
Expr + Term
所用产生式:
Expr \rightarrow Expr + Term

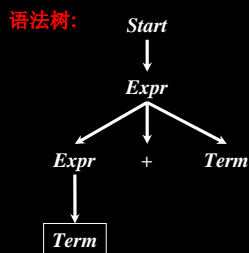
Start \rightarrow Expr
 Expr \rightarrow Expr + Term | Expr - Term | Term
 Term \rightarrow Term * Int | Term / Int | Int

语法树: Start

剩余串:
2-2*2
句型:
Start
所用产生式:

有回溯的例子...

Start \rightarrow Expr
 Expr \rightarrow Expr + Term | Expr - Term | Term
 Term \rightarrow Term * Int | Term / Int | Int



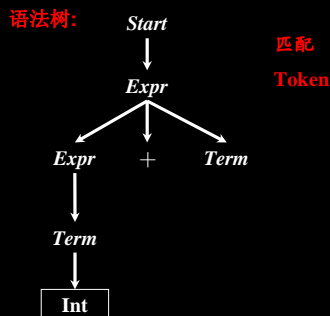
剩余串:
2-2*2
句型:
Term + Term
所用产生式:
Expr \rightarrow Term

Start \rightarrow Expr
 Expr \rightarrow Expr + Term | Expr - Term | Term
 Term \rightarrow Term * Int | Term / Int | Int



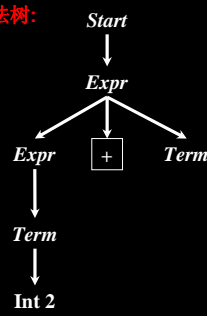
剩余串:
2-2*2
句型:
Expr
所用产生式:
Start \rightarrow Expr

Start \rightarrow Expr
 Expr \rightarrow Expr + Term | Expr - Term | Term
 Term \rightarrow Term * Int | Term / Int | Int

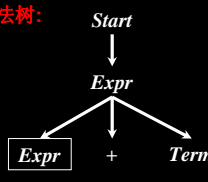


剩余串:
2-2*2
句型:
Int + Term
所用产生式:
Term \rightarrow Int

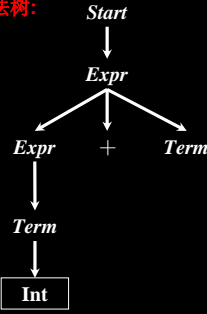
$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:  不匹配
Token 剩余串:
-2*2
句型:
2 + Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:  回溯 剩余串:
2-2*2
句型:
Expr + Term
所用产生式:
Expr -> Expr + Term

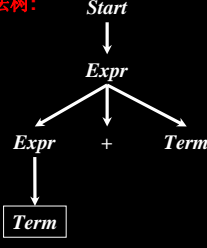
$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:  回溯 剩余串:
2-2*2
句型:
Int + Term
所用产生式:
Term -> Int

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:  回溯 剩余串:
2-2*2
句型:
Expr
所用产生式:
Start -> Expr

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:  回溯 剩余串:
2-2*2
句型:
Term + Term
所用产生式:
Expr -> Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:  回溯 剩余串:
2-2*2
句型:
Expr - Term
所用产生式:
Expr -> Expr - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

```

graph TD
    Start --> Expr1[Expr]
    Expr1 --> Expr2[Expr]
    Expr1 --> Minus[-]
    Expr1 --> Term1[Term]
    Expr2 --> Term2[Term]
    
```

剩余串: 2-2*2
句型: Term - Term
所用产生式: $Expr \rightarrow Term$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

```

graph TD
    Start --> Expr1[Expr]
    Expr1 --> Expr2[Expr]
    Expr1 --> Minus[-]
    Expr1 --> Term1[Term]
    Expr2 --> Term2[Term]
    Term2 --> Int2[Int 2]
    
```

剩余串: 2*2
匹配 Token: -
句型: 2 - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

```

graph TD
    Start --> Expr1[Expr]
    Expr1 --> Expr2[Expr]
    Expr1 --> Minus[-]
    Expr1 --> Term1[Term]
    Expr2 --> Term2[Term]
    Term2 --> Int2[Int]
    
```

剩余串: 2-2*2
句型: Int - Term
所用产生式: $Term \rightarrow Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

```

graph TD
    Start --> Expr1[Expr]
    Expr1 --> Expr2[Expr]
    Expr1 --> Minus[-]
    Expr1 --> Term1[Term]
    Expr2 --> Term2[Term]
    Term2 --> Int2[Int 2]
    
```

剩余串: 2*2
句型: 2 - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

```

graph TD
    Start --> Expr1[Expr]
    Expr1 --> Expr2[Expr]
    Expr1 --> Minus[-]
    Expr1 --> Term1[Term]
    Expr2 --> Term2[Term]
    Term2 --> Int2[Int 2]
    
```

剩余串: 2-2*2
匹配 Token: -
句型: 2 - Term

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

```

graph TD
    Start --> Expr1[Expr]
    Expr1 --> Expr2[Expr]
    Expr1 --> Minus[-]
    Expr1 --> Term1[Term]
    Expr2 --> Term2[Term]
    Term2 --> Int2[Int 2]
    Term1 --> Term3[Term]
    Term1 --> Star[*]
    Term1 --> Int3[Int]
    Term3 --> Int4[Int 2]
    
```

剩余串: 2*2
句型: 2 - Term * Int
所用产生式: $Term \rightarrow Term * Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

剩余串: $2 * 2$
句型: $2 - Int * Int$
所用产生式: $Term \rightarrow Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

匹配 Token
剩余串: 2
句型: $2 - 2 * Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

匹配 Token
剩余串: $2 * 2$
句型: $2 - Int * Int$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

分析成功!
剩余串:
句型: $2 - 2 * 2$

$Start \rightarrow Expr$
 $Expr \rightarrow Expr + Term \mid Expr - Term \mid Term$
 $Term \rightarrow Term * Int \mid Term / Int \mid Int$

语法树:

匹配 Token
剩余串: $* 2$
句型: $2 - 2 * Int$

文法左递归 + 自上而下分析 = 死循环

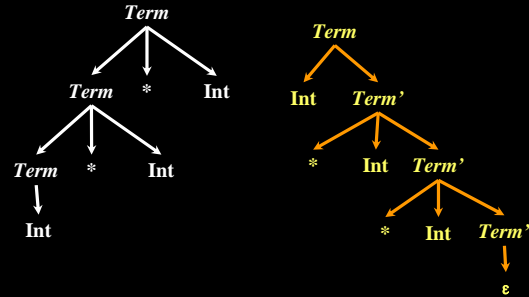
- ❖ 例如产生式: $Term \rightarrow Term * Int$
- ❖ 可能的分析步骤有:

4.2 无回溯的自上而下分析方法/LL(1)分析法

❖ 对于语法分析而言，修剪文法以删除左递归

- ❖ $Term \rightarrow Term * Int$
- ❖ $Term \rightarrow Term / Int$
- ❖ $Term \rightarrow Int$

- ❖ $Term \rightarrow Int Term'$
- ❖ $Term' \rightarrow * Int Term'$
- ❖ $Term' \rightarrow / Int Term'$
- ❖ $Term' \rightarrow \epsilon$



4.2.1 消除文法的左递归性

❖ 直接左递归

- ❖ $Term \rightarrow Term * Int$
- ❖ $Term \rightarrow Int$

❖ 修剪为:

- ❖ $Term \rightarrow Int Term'$
- ❖ $Term' \rightarrow * Int Term'$
- ❖ $Term' \rightarrow \epsilon$

❖ 直接左递归

- ❖ $P \rightarrow P\alpha | \beta$
- ❖ β 不以P开头

❖ 修剪为:

- ❖ $P \rightarrow \beta P'$
- ❖ $P' \rightarrow \alpha P' | \epsilon$

❖ 不考虑无意义的规则: $P \rightarrow P$

②间接左递归及消除方法

-含间接左递归的文法

- $N \rightarrow A\alpha$
- $A \rightarrow N\beta | \gamma$

$N \rightarrow N\beta\alpha | \gamma\alpha$

-潜在左递归

- $N \rightarrow \alpha N$
- 而 $\alpha \rightarrow \epsilon$

代入法: 将N的候选A α 中的A用A的两个候选式分别替换得到N的新候选式作为修剪文法。其中以A为左部的产生式规则不再有用，故不在修剪后的文法中出现。

-故不考虑原文法中含 ϵ 产生式的情况。

①消除直接左递归

Original Grammar Fragment

- ❖ $Term \rightarrow Term * Int$
- ❖ $Term \rightarrow Term / Int$
- ❖ $Term \rightarrow Int$

New Grammar Fragment

- ❖ $Term \rightarrow Int Term'$
- ❖ $Term' \rightarrow * Int Term'$
- ❖ $Term' \rightarrow / Int Term'$
- ❖ $Term' \rightarrow \epsilon$

举例：代入法

❖ 例4.3

- ❖ $S \rightarrow pNq$
- ❖ $N \rightarrow a|Bc| \epsilon$

❖ 将S的候选式pNq中的N用N的候选式代入后得:

- ❖ $S \rightarrow paq|pBcq|pq$
- ❖ $N \rightarrow a|Bc| \epsilon$

③消除文法左递归的算法

- ❖ 对文法G的所有非终结符进行排序
- ❖ 按上述顺序对每一个非终结符 P_i 依次执行:
 - ❖ for($j=1; j < i-1; j++$)
 - ❖ 将 P_j 代入 P_i 的每个候选中(若可代入的话);
 - ❖ 消除关于 P_i 的直接左递归;
- ❖ 化简上述所得文法(即删除无用非终结符)。

算法有效的条件: 文法G不含 $P \rightarrow P$ 产生式和 ϵ 产生式

4.2.2 消除回溯

举例: 消除下述文法的左递归

- ❖ $S \rightarrow Sa | Ab | b | c$
- ❖ $A \rightarrow Bc | a$
- ❖ $B \rightarrow Sb | b$
- ❖ $S \rightarrow Aa | b$
- ❖ $A \rightarrow Ac | Sd | \epsilon$

对文法G的所有非终结符进行排序按上述顺序对每一个非终结符 P_i 依次执行:
 for($j=1; j < i-1; j++$)
 将 P_j 代入 P_i 的每个候选中;
 消除关于 P_i 的直接左递归;
 化简上述所得文法。

4.2.2.1 产生回溯的原因分析

例: 给定文法

- ❖ $Start \rightarrow Expr$
 - ❖ $Expr \rightarrow Term Expr'$
 - ❖ $Expr' \rightarrow + Expr'$
 - ❖ $Expr' \rightarrow - Expr'$
 - ❖ $Expr' \rightarrow \epsilon$
 - ❖ $Term \rightarrow Int Term'$
 - ❖ $Term' \rightarrow * Int Term'$
 - ❖ $Term' \rightarrow / Int Term'$
 - ❖ $Term' \rightarrow \epsilon$
- $E \rightarrow TE'$
 $E' \rightarrow +TE' | -TE' | \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' | /FT' | \epsilon$
 $F \rightarrow (E) i$

- ❖ $A \rightarrow Ba | Aa | c$
- ❖ $B \rightarrow Bb | Ab | d$

- ❖ $P_1=A$
- ❖ $P_2=B$
- ❖ $A \rightarrow BaA^*|cA'$
- ❖ $A' \rightarrow aA' | \epsilon$
- ❖ $B \rightarrow cA^*bB^*|dB'$
- ❖ $B' \rightarrow bB^*|aA^*bB' | \epsilon$

- ❖ $i=1,$
- ❖ 消除A直接左递归
- ❖ $A \rightarrow BaA^*|cA'$
- ❖ $A' \rightarrow aA' | \epsilon$
- ❖ $i=2, j=1$
- ❖ A代入B中
- ❖ $B \rightarrow Bb|BaA^*b|cA^*b|d$
- ❖ $i=2, j=1$
- ❖ 消除B直接左递归
- ❖ $B \rightarrow cA^*bB^*|dB'$
- ❖ $B' \rightarrow bB^*|aA^*bB' | \epsilon$

对文法G的所有非终结符进行排序按上述顺序对每一个非终结符 P_i 依次执行:
 for($j=1; j < i-1; j++$)
 将 P_j 代入 P_i 的每个候选中;
 消除关于 P_i 的直接左递归;
 化简上述所得文法。

Choice Points

- ❖ 假设 $Term'$ 是语法树中的当前结点
- ❖ 现有三个产生式可用
 - ❖ $Term' \rightarrow * Int Term'$
 - ❖ $Term' \rightarrow / Int Term'$
 - ❖ $Term' \rightarrow \epsilon$
- ❖ 根据下一个Token来决定
 - ❖ 若下一Token是*, 用 $Term' \rightarrow * Int Term'$
 - ❖ 若下一Token是/, 用 $Term' \rightarrow / Int Term'$
 - ❖ 其它情况? 用 $Term' \rightarrow \epsilon$

问题：两个候选式有相同的前缀

❖ 例如文法

$NT \rightarrow \text{if then}$
 $NT \rightarrow \text{if then else}$

- ❖ 假定 NT 是语法树中当前结点，if 是下一个Token
- ❖ 则不能够确定用哪个产生式

首符集 (FIRST集) 概念

- ❖ $T \in \text{FIRST}(\alpha)$ if T can appear as the first symbol in a derivation starting from α
- ❖ $\text{FIRST}(\alpha) = \{a \mid \alpha \Rightarrow^* a \dots, a \in V_T\}$
 - ❖ 特别地，若 $\alpha \Rightarrow^* \epsilon$ ，则 $\epsilon \in \text{FIRST}(\alpha)$
- ❖ Start with concept of NT deriving ϵ
- ❖ Two rules
 - ❖ $NT \rightarrow \epsilon$ implies NT derives ϵ
 - ❖ $NT \rightarrow NT_1 \dots NT_n$ and for all $1 \leq i \leq n$ NT_i derives ϵ implies NT derives ϵ

解决办法: 提左公因子

❖ 让公共前缀只出现在单个产生式中

$NT \rightarrow \text{if then } NT'$
 $NT' \rightarrow \text{else}$
 $NT' \rightarrow \epsilon$

- ❖ 遇到下一个Token是if时，只有唯一的选择!

推导 ϵ 的不动点算法

for all nonterminals NT
 set NT derives ϵ to be false
 for all productions of the form $NT \rightarrow \epsilon$
 set NT derives ϵ to be true
 while (some NT derives ϵ changed in last iteration)
 for all productions of the form $NT \rightarrow NT_1 \dots NT_n$
 if (for all $1 \leq i \leq n$ NT_i derives ϵ)
 set NT derives ϵ to be true

另一种情况

❖ 候选式第一个符号为非终结符时，如：

$NT \rightarrow NT_1 \alpha_1$
 $NT \rightarrow NT_2 \alpha_2$

- ❖ 选择哪一个取决于 NT_1 和 NT_2 推导出来的串的第一个Token 跟输入流中的下一个Token的匹配情况
- ❖ 特别地，当 NT_1 或 NT_2 能推导出 ϵ 时
 - ❖ 这时必须基于 α_1 和 α_2 进行判断

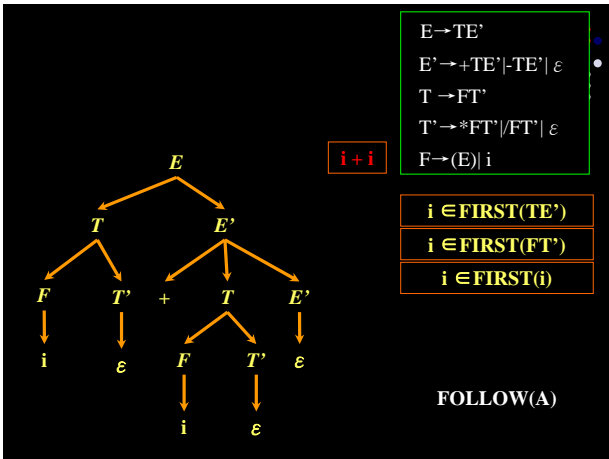
解决回溯问题的结论

文法G的产生式形如： $A \rightarrow \alpha_1 \dots \alpha_m$
 则可以计算出： $\text{FIRST}(\alpha_i)$, $i=1, \dots, m$
 如果对于任意 $1 < i, j <= m$ 都有

$$\text{FIRST}(\alpha_i) \cap \text{FIRST}(\alpha_j) = \emptyset$$

则意味着由A的每个候选式所能够推导出来的符号串的头符号 (属于 V_T) 均不相同

于是，对语法树中当前结点A，并且当前输入符号 (Token) 为a时只能够唯一地选择A的候选 α_i ，即 $a \in \text{FIRST}(\alpha_i)$



(1) FIRST(β)和FOLLOW(A)计算

给定文法G, $V = V_N \cup V_T$, $\alpha \in V^*$, $S, A \in V_N$

$\text{FIRST}(\alpha) = \{a \mid \alpha \Rightarrow^* a\beta, a \in V_T, \beta \in V^*\}$

特别地, 若 $\alpha \Rightarrow^* \epsilon$, 则 $\epsilon \in \text{FIRST}(\alpha)$

$\text{FOLLOW}(A) = \{a \mid S \Rightarrow^* \alpha A \beta, a \in V_T, \alpha, \beta \in V^*\}$

4.2.2.2 消除回溯的方法

- 必要条件
文法任一个非终结符的首符集两两不相交。
- 方法: 提取公共左因子

若 $A \rightarrow \delta\beta_1 | \dots | \delta\beta_n | \gamma_1 | \dots | \gamma_m, m, n \geq 1$
 其中每个 γ 不以 δ 开头
 那么可以等价地改写成:
 $A \rightarrow \delta A' | \gamma_1 | \dots | \gamma_m$
 $A' \rightarrow \beta_1 | \dots | \beta_n$

首符集的性质

- $T \in \text{FIRST}(T)$
- $\text{FIRST}(S) \subseteq \text{FIRST}(S\beta)$
- 若 $NT \Rightarrow^* \epsilon$ 则 $\text{FIRST}(\beta) \subseteq \text{FIRST}(NT\beta)$
- 产生式 $NT \rightarrow S\beta$ 隐含着 $\text{FIRST}(S\beta) \subseteq \text{FIRST}(NT)$

* 说明: T 是终结符, NT 是非终结符, S 或是终结符或是非终结符, β 是由终结符和非终结符构成的符号串

4.2.3 无回溯的分析步骤

- 当前Token为a, 当前非终结符为A, 且有规则 $A \rightarrow \alpha_1 | \alpha_2 | \dots | \alpha_n$
- 若 $a \in \text{FIRST}(\alpha_i)$, 采用规则 $A \rightarrow \alpha_i$
- 若a不属于A的任何一个候选首符集, 则:
 - 若 ϵ 属于某个 $\text{FIRST}(\alpha_i)$ 且 $a \in \text{FOLLOW}(A)$, 则采用规则 $A \rightarrow \epsilon$
 - $\text{FOLLOW}(A) = \{a \mid S \Rightarrow^* \alpha A \beta, a \in V_T, \alpha, \beta \in V^*\}$
 - 否则, 选择别的非终结符规则, 若仍不满足上述情况, 则a的出现是一种语法错误。

推理法

Request: What is $\text{FIRST}(Term')$?

$Term' \rightarrow * Int Term'$
 $Term' \rightarrow / Int Term'$
 $Term' \rightarrow \epsilon$

约束条件
 $\text{FIRST}(* Num Term') \subseteq \text{FIRST}(Term')$
 $\text{FIRST}(/ Num Term') \subseteq \text{FIRST}(Term')$
 $\text{FIRST}(\epsilon) \subseteq \text{FIRST}(* Num Term')$
 $\text{FIRST}(\epsilon) \subseteq \text{FIRST}(/ Num Term')$
 $* \in \text{FIRST}(\epsilon)$
 $/ \in \text{FIRST}(\epsilon)$

性质
 1) $T \in \text{FIRST}(T)$
 2) $\text{FIRST}(S) \subseteq \text{FIRST}(S\beta)$
 3) $NT \Rightarrow^* \epsilon$ 隐含 $\text{FIRST}(\beta) \subseteq \text{FIRST}(NT\beta)$
 4) $NT \rightarrow S\beta$ 隐含 $\text{FIRST}(S\beta) \subseteq \text{FIRST}(NT)$

约束条件传播算法

约束条件

$First(* Num Term') \subseteq First(Term')$
 $First(/ Num Term') \subseteq First(Term')$
 $First(*) \subseteq First(* Num Term')$
 $First(/) \subseteq First(/ Num Term')$
 $* \in First(*)$
 $/ \in First(/)$

解

$First(Term') = \{\}$
 $First(* Num Term') = \{\}$
 $First(/ Num Term') = \{\}$
 $First(*) = \{\}$
 $First(/) = \{\}$

初始化各个首符集为 {}
传播约束条件直到不动点 (不再变化)

约束条件传播算法

约束条件

$First(* Num Term') \subseteq First(Term')$
 $First(/ Num Term') \subseteq First(Term')$
 $First(*) \subseteq First(* Num Term')$
 $First(/) \subseteq First(/ Num Term')$
 $* \in First(*)$
 $/ \in First(/)$

解

$First(Term') = \{*, /\}$
 $First(* Num Term') = \{*\}$
 $First(/ Num Term') = \{/}$
 $First(*) = \{*\}$
 $First(/) = \{/}$

初始化各个首符集为 {}
传播约束条件直到不动点 (不再变化)

约束条件传播算法

约束条件

$First(* Num Term') \subseteq First(Term')$
 $First(/ Num Term') \subseteq First(Term')$
 $First(*) \subseteq First(* Num Term')$
 $First(/) \subseteq First(/ Num Term')$
 $* \in First(*)$
 $/ \in First(/)$

解

$First(Term') = \{\}$
 $First(* Num Term') = \{\}$
 $First(/ Num Term') = \{\}$
 $First(*) = \{*\}$
 $First(/) = \{/}$

初始化各个首符集为 {}
传播约束条件直到不动点 (不再变化)

约束条件传播算法

约束条件

$First(* Num Term') \subseteq First(Term')$
 $First(/ Num Term') \subseteq First(Term')$
 $First(*) \subseteq First(* Num Term')$
 $First(/) \subseteq First(/ Num Term')$
 $* \in First(*)$
 $/ \in First(/)$

解

$First(Term') = \{\epsilon, *, /\}$
 $First(* Num Term') = \{*\}$
 $First(/ Num Term') = \{/}$
 $First(*) = \{*\}$
 $First(/) = \{/}$

初始化各个首符集为 {}
传播约束条件直到不动点 (不再变化)

最后再加一条: 若 $\alpha \rightarrow * \epsilon$, 则 $\epsilon \in FIRST(\alpha)$

约束条件传播算法

约束条件

$First(* Num Term') \subseteq First(Term')$
 $First(/ Num Term') \subseteq First(Term')$
 $First(*) \subseteq First(* Num Term')$
 $First(/) \subseteq First(/ Num Term')$
 $* \in First(*)$
 $/ \in First(/)$

解

$First(Term') = \{\}$
 $First(* Num Term') = \{*\}$
 $First(/ Num Term') = \{/}$
 $First(*) = \{*\}$
 $First(/) = \{/}$

初始化各个首符集为 {}
传播约束条件直到不动点 (不再变化)

求所有非终结符FIRST集的迭代算法 ①构造FIRST(X), $X \in V_T \cup V_N$

❖ 连续使用以下规则, 直至再无终结符, 或 ϵ 加入任一FIRST集为止

- ❖ 若 $X \in V_T$, 则 X 属于 $FIRST(X)$
- ❖ 若 $X \in V_N$, 且有 $X \rightarrow a \alpha$, 则 a 属于 $FIRST(X)$
- ❖ 若 $X \in V_N$, 若有 $X \rightarrow \epsilon$, 则 ϵ 属于 $FIRST(X)$
- ❖ 若 $X \in V_N$, 有 $X \rightarrow Y \dots$, 且 $Y \in V_N$, 则

$FIRST(Y) \setminus \{\epsilon\}$ 包含于 $FIRST(X)$

②构造FIRST(α), $\alpha = X_1 X_2 \dots X_n$

- * FIRST(X_1)中非 ϵ 元素加入FIRST(α)
- * 若 $\epsilon \in \text{FIRST}(X_1)$, 则FIRST(X_2)的所有非 ϵ 元素加入FIRST(α)
- * 若 $\epsilon \in \text{FIRST}(X_1), \epsilon \in \text{FIRST}(X_2)$, 则FIRST(X_3)的所有非 ϵ 元素加入FIRST(α)
- * 一般地, 若 $\epsilon \in \text{FIRST}(X_i), i=1..m, m < n$, FIRST(X_{i+1})的所有非 ϵ 元素加入FIRST(α), 当 $m=n$ 时 则将 ϵ 加入FIRST(α)

文法中不含 ϵ 产生式时, 构造所有非终结符A的FIRST集的简化算法

```
for all nonterminals A do First(A):={};
While there are changes to any First(A) do
for each production A  $\rightarrow$   $X_1 X_2 \dots X_n$  do
add First( $X_1$ ) to First(A);
```

构造所有非终结符A的FIRST集的算法

```
for all nonterminals A do First(A):={};
While there are changes to any First(A) do
for each production A  $\rightarrow$   $X_1 X_2 \dots X_n$  do
k := 1; Continue := true;
While Continue = true and k <= n do
add First( $X_k$ ) \ {  $\epsilon$  } to First(A)
if  $\epsilon$  is not in First( $X_k$ ) then Continue := false;
k := k + 1;
if Continue = true then add {  $\epsilon$  } to First(A)
```

FOLLOW(A)定义

- ❖ 给定一个非终结符A, FOLLOW(A)由终结符组成, 可能含#,
 - * 若A为文法开始符号, 则#属于FOLLOW(A)
 - * 若有产生式 $B \rightarrow \alpha A \beta$ 则 FIRST(β) \ { ϵ } \subseteq FOLLOW(A)
 - * 若有产生式 $B \rightarrow \alpha A \beta$ 且 $\epsilon \in \text{FIRST}(\beta)$ 则 FOLLOW(B) \subseteq FOLLOW(A)

例:

$S \rightarrow I \mid \text{other}$
 $I \rightarrow \text{if} (E) S L$
 $L \rightarrow \text{else} S \mid \epsilon$
 $E \rightarrow 0 \mid 1$

Grammar rule	Pass 1	Pass 2
$S \rightarrow I$		First(S)={if,other}
$S \rightarrow \text{other}$	First(S)={other}	
$I \rightarrow \text{if} (E) S L$	First(I)={if}	
$L \rightarrow \text{else} S$	First(L)={else}	
$L \rightarrow \epsilon$	First(L)={else, ϵ }	
$E \rightarrow 0$	First(E)={0}	
$E \rightarrow 1$	First(E)={1}	

构造FOLLOW集的算法

```
Follow(start-symbol) := {#};
for all nonterminals A  $\neq$  start-symbol do Follow(A):={};
While there are changes to any Follow sets do
for each production A  $\rightarrow$   $X_1 X_2 \dots X_n$  do
for each  $X_i$  that is a nonterminal do
add First( $X_{i+1} X_{i+2} \dots X_n$ ) \ {  $\epsilon$  } to Follow( $X_i$ )
(* Note: if  $i=n$ , then  $X_{i+1} X_{i+2} \dots X_n = \epsilon^*$ )
if  $\epsilon$  is in First( $X_{i+1} X_{i+2} \dots X_n$ ) then
add Follow(A) to Follow( $X_i$ )
```

4) 例, 已知文法G:

$E \rightarrow TE'$ $T' \rightarrow *FT' / FT' | \epsilon$
 $E' \rightarrow +TE' | -TE' | \epsilon$ $F \rightarrow (E) | I$
 $T \rightarrow FT'$

求G的每个非终结符A的 FOLLOW(A)集

FIRST集的构造:

FIRST(E) = FIRST(T) = FIRST(F) = { (, i }

FIRST(E') = { +, ε }

FIRST(T') = { *, ε }

Grammar rule	Pass 1	Pass 2	Pass 3
$E \rightarrow TE'$			FIRST(E)={ (, i }
$T \rightarrow FT'$		FIRST(T)={ (, i }	
$F \rightarrow (E)$	FIRST(F)={ (}		
$F \rightarrow i$	FIRST(F)={ (, i }		
$T' \rightarrow *FT'$	FIRST(T')={ * }		
$T' \rightarrow /FT'$	FIRST(T')={ *, / }		
$T' \rightarrow \epsilon$	FIRST(T')={ *, /, ε }		
$E' \rightarrow +TE'$	FIRST(E')={ + }		
$E' \rightarrow -TE'$	FIRST(E')={ +, - }		
$E' \rightarrow \epsilon$	FIRST(E')={ +, -, ε }		

FOLLOW集的构造

① #属于FOLLOW(S)

② 若存在 $B \rightarrow \alpha A \beta$, 则 $FIRST(\beta) \setminus \{ \epsilon \}$ 包含于 FOLLOW(A)

由①得: FOLLOW(E) = { # }

由②得:

由 $E \rightarrow TE'$ 有 $FIRST(E') \setminus \{ \epsilon \} \subseteq FOLLOW(T)$

则 FOLLOW(T) = { + }

由 $T \rightarrow FT'$ 有 $FIRST(T') \setminus \{ \epsilon \} \subseteq FOLLOW(F)$

则 FOLLOW(F) = { * }

由 $F \rightarrow (E)$ 有 $FIRST() \subseteq FOLLOW(E)$

则 FOLLOW(E) = { #,) }

FIRST(E') = { +, ε }

FIRST(T') = { *, ε }

Grammar rule	Pass 1	Pass 2	Pass 3
$E \rightarrow TE'$	FOLLOW(E)={ # }	FOLLOW(T)={ (, # }	
$T \rightarrow FT'$		FOLLOW(F)=?	
$F \rightarrow (E)$	FOLLOW(F)={ (, # }		
$F \rightarrow i$			
$T' \rightarrow *FT'$		FOLLOW(F)=?	
$T' \rightarrow /FT'$		FOLLOW(F)=?	
$T' \rightarrow \epsilon$			
$E' \rightarrow +TE'$		FOLLOW(T)= { (, #, +, - }	
$E' \rightarrow -TE'$		FOLLOW(T)= { (, #, +, - }	
$E' \rightarrow \epsilon$			

FOLLOW集续

③ 若有 $B \rightarrow \alpha A \beta$ 且 $\epsilon \in FIRST(\beta)$ 则 FOLLOW(B) \subseteq FOLLOW(A)

$E \rightarrow TE'$ 有 FOLLOW(E) \subseteq FOLLOW(E')

则 FOLLOW(E') = { #,) }

$E \rightarrow TE'$ 且 $E' \rightarrow \epsilon$ 有 FOLLOW(E) \subseteq FOLLOW(T)

则 FOLLOW(T) = { +, #,) }

$T \rightarrow FT'$ 有 FOLLOW(T) \subseteq FOLLOW(T')

则 FOLLOW(T') = { +, #,) }

$T \rightarrow FT'$ 且 $T' \rightarrow \epsilon$ 有 FOLLOW(T) \subseteq FOLLOW(F)

则 FOLLOW(F) = { *, +, #,) }

FOLLOW(T) = { + }
 FOLLOW(F) = { * }
 FOLLOW(E) = { #,) }

最终构造结果

$FIRST(E) = FIRST(T) = FIRST(F) = \{ (, i \}$
 $FIRST(E') = \{ +, \epsilon \}$
 $FIRST(T') = \{ *, \epsilon \}$
 $FOLLOW(E) = FOLLOW(E') = \{), \# \}$
 $FOLLOW(T) = FOLLOW(T') = \{ +,), \# \}$
 $FOLLOW(F) = \{ *, +,), \# \}$

$E \rightarrow TE'$
 $E' \rightarrow +TE' \mid \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \epsilon$
 $F \rightarrow (E) \mid i$

例4.4 文法 G :

$E \rightarrow TE'$
 $E' \rightarrow +TE' \mid \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \epsilon$
 $F \rightarrow (E) \mid i$

每个非终结符所对应的递归子程序如下所示:

4.3 递归下降分析程序

对文法的要求:

- 上下文无关文法、无二义性;
- 不含左递归;
- 每一个非终结符的任意两个候选式的首符集均不相交;
- 对文法中的每一个非终结符A,若它存在某个候选首符集含 ϵ ,则有 $FIRST(A) \cap FOLLOW(A) = \emptyset$

LL(1)文法

- L: 从左到右扫描输入流;
- L: 最左推导;
- l: 向前查看一个Token

```

PROCEDURE E';
BEGIN
  IF SYM='+' THEN
    BEGIN
      ADVANCE;
      T; E';
    END
  END;
E' → +TE' | ε
  
```

```

PROCEDURE E;
BEGIN
  T; E';
END;
E → TE'
  
```

```

PROCEDURE T;
BEGIN
  F; T';
END;
T → FT'
  
```

Predictive Parsing + Hand Coding = Recursive Descent Parser

- One procedure per nonterminal
- That procedure examines the current input symbol
- Recursively calls procedures for RHS of chosen production
- Procedures return true if parse succeeded, false otherwise

```

PROCEDURE T';
BEGIN
  IF SYM='*' THEN
    BEGIN
      ADVANCE;
      F; T';
    END
  END;
T' → *FT' | ε
  
```

```

PROCEDURE F;
BEGIN
  IF SYM='i' THEN ADVANCE
  ELSE IF SYM='(' THEN
    BEGIN
      ADVANCE;
      E;
      IF SYM=')' THEN ADVANCE
      ELSE ERROR
    END
  ELSE ERROR
  END;
F → (E) | i
  
```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE E;
BEGIN
  T; E';
END;
    
```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE F;
BEGIN
  IF SYM='i' THEN ADVANCE
  ELSE IF SYM='(' THEN
    BEGIN
      ADVANCE;
      E;
      IF SYM=')' THEN ADVANCE
      ELSE ERROR
    END
  ELSE ERROR
END

PROCEDURE T;
BEGIN
  F; T'
END;
    
```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE E;
BEGIN
  T; E'
END;

PROCEDURE T;
BEGIN
  F; T'
END;
    
```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE T;
BEGIN
  F; T'
END;

PROCEDURE T';
BEGIN
  IF SYM='*' THEN
    BEGIN
      ADVANCE;
      F; T'
    END
  END
END
    
```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE T;
BEGIN
  F; T'
END;

PROCEDURE F;
BEGIN
  IF SYM='i' THEN ADVANCE
  ELSE IF SYM='(' THEN
    BEGIN
      ADVANCE;
      E;
      IF SYM=')' THEN ADVANCE
      ELSE ERROR
    END
  ELSE ERROR
END
    
```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE E;
BEGIN
  T; E'
END;

PROCEDURE E';
BEGIN
  IF SYM='+' THEN
    BEGIN
      ADVANCE;
      T; E'
    END
  END
END;
    
```


$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE E';
BEGIN
  IF SYM='+' THEN
    BEGIN
      ADVANCE;
      T; E';
    END
  END;
END;

PROCEDURE T';
BEGIN
  F; T';
END;

```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE T';
BEGIN
  F; T';
END;

PROCEDURE T';
BEGIN
  IF SYM='*' THEN
    BEGIN
      ADVANCE;
      F; T';
    END
  END;
END;

```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE T';
BEGIN
  F; T';
END;

PROCEDURE F';
BEGIN
  IF SYM='i' THEN ADVANCE
  ELSE IF SYM='(' THEN
    BEGIN
      ADVANCE;
      E;
    END
  IF SYM=')' THEN ADVANCE
  ELSE ERROR
  END
  ELSE ERROR
  END;

```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE T';
BEGIN
  IF SYM='*' THEN
    BEGIN
      ADVANCE;
      F; T';
    END
  END;
END;

PROCEDURE F';
BEGIN
  IF SYM='i' THEN ADVANCE
  ELSE IF SYM='(' THEN
    BEGIN
      ADVANCE;
      E;
    END
  IF SYM=')' THEN ADVANCE
  ELSE ERROR
  END
  ELSE ERROR
  END;

```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE F';
BEGIN
  IF SYM='i' THEN ADVANCE
  ELSE IF SYM='(' THEN
    BEGIN
      ADVANCE;
      E;
    END
  IF SYM=')' THEN ADVANCE
  ELSE ERROR
  END
  ELSE ERROR
  END;

PROCEDURE T';
BEGIN
  F; T';
END;

```

$i_1 + i_2 * i_3$ 分析过程:

```

PROCEDURE T';
BEGIN
  IF SYM='*' THEN
    BEGIN
      ADVANCE;
      F; T';
    END
  END;
END;

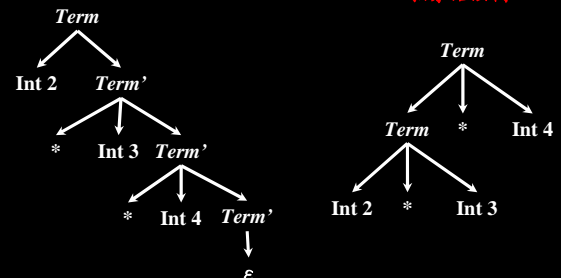
```

递归下降分析程序的实现

- ❖ 每一非终结符对应一个递归子程序;
- ❖ 递归子程序: 是一个布尔过程, 一旦发现它的某个候选式与输入串匹配, 它就按此式扩充语法树, 并返回true, 指针移过已匹配子串。否则, 返回false, 保持原来的语法树和指针不变。

2*3*4的语法树

$Term \rightarrow Int Term'$
 $Term' \rightarrow * Int Term'$
 $Term' \rightarrow / Int Term'$
 $Term' \rightarrow \epsilon$



例

$Term \rightarrow Int Term'$
 $Term' \rightarrow * Int Term'$
 $Term' \rightarrow / Int Term'$
 $Term' \rightarrow \epsilon$

```
❖ Term()
if(token=Int n){
    token = NextToken();
    return(TermPrime())
}else return(false)

❖ TermPrime()
if(token=*)||(token=/){
    token = NextToken();
    if (token=Int n) {
        token=NextToken();
        return(TermPrime())
    } else return(false)
}else return(true)
```

Building Parse Tree (Concrete Tree)

```
❖ Term()
if(token=Int n){
    oldToken=token; token=NextToken();
    node=TermPrime();
    if(node=NULL)return oldToken;
    else return(new TermNode(oldToken,node));
}else throw SyntaxError

❖ TermPrime()
if(token=*)||(token=/){
    first=token; next=NextToken();
    if(next=Int n){
        token=NextToken();
        return(new TermPrimeNode(first,next,TermPrime()))
    }else throw SyntaxError
}else return(NULL)
```

Building A Parse Tree

- ❖ Have each procedure return the section of the parse tree for the part of the string it parsed
- ❖ Use exceptions to make code structure clean
- ❖ In general, can adjust parse algorithm to satisfy different goals – typically, produce abstract parse tree instead of concrete parse tree

Building Parse Tree (Concrete Tree)

```
❖ Term()
if(token=Int n){
    oldToken=token; token=NextToken();
    node=TermPrime();
    if(node=NULL)return oldToken;
    else return(new TermNode(oldToken,node));
}else throw SyntaxError

❖ TermPrime()
if(token=*)||(token=/){
    first=token; next=NextToken();
    if(next=Int n){
        token=NextToken();
        return(new TermPrimeNode(first,next,TermPrime()))
    }else throw SyntaxError
}else return(NULL)
```

Building Parse Tree (Concrete Tree)

```

❖ Term()
if(token=Int n){
  oldToken=token; token=NextToken();
  node=TermPrime();
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Building Parse Tree (Concrete Tree)

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```

Building Parse Tree (Abstract Tree)

```

❖ Term()
if(token=Int n){
  node=new TermNode(token,NULL,NULL);
  token=NextToken(); TermPrime(node); return node;
}else throw SyntaxError
❖ TermPrime(node)
if(token=*)||(token=/){
  node.op=token; token=NextToken();
  if(token=Int n){
    node.right=token; token=NextToken();
    if(token=*)||(token=/){ oldNode = node;
      node=new TermNode(oldNode,NULL,NULL);
      TermPrime(node); }
    return node;
  }else throw SyntaxError
}else return(new TermNode(oldToken,NULL,NULL));
  
```

直接产生抽象语法树

$Term \rightarrow Int Term'$
 $Term' \rightarrow * Int Term'$
 $Term' \rightarrow / Int Term'$
 $Term' \rightarrow \epsilon$

- ❖ TermPrime构造不完全树
 - ❖ 缺少最左孩子
 - ❖ 返回根和一个不完全的结点
- ❖ (root, incomplete) = TermPrime()
 - ❖ Called with token = *
 - ❖ Remaining tokens = 3 * 4

Building Parse Tree (Abstract Tree)

```

❖ Term()
if(token=Int n){
  node=new TermNode(token,NULL,NULL);
  token=NextToken(); TermPrime(node); return node;
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if(token=*)||(token=/){
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  if(token=Int n){
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    if(token=*)||(token=/){ oldNode = node;
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      TermPrime(node); }
    return node;
  }else throw SyntaxError
}else return(new TermNode(oldToken,NULL,NULL));
  
```

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if(token=Int n){
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if(token=*)||(token=/){
  first=token; next=NextToken();
  if(next=Int n){
    token=NextToken();
    return(new TermPrimeNode(first,next,TermPrime()))
  }else throw SyntaxError
}else return(NULL)
  
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Building Parse Tree (Abstract Tree)

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    }else return(new TermNode(oldToken,NULL,NULL));
    
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        return node;
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    else throw SyntaxError
}
else return(new TermNode(oldToken,NULL,NULL));
    
```

2 * 3 * 4

Building Parse Tree (Abstract Tree)

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    }
    else throw SyntaxError
}
else return(new TermNode(oldToken,NULL,NULL));
    
```

2 * 3 * 4

程序实现小结

- ❖ 有 ϵ ，自动匹配，认为不会失败
- ❖ 出错处理
- ❖ 过程Term()和TermPrime()
- ❖ NextToken()
- ❖ TermNode()和TermPrimeNode()

Building Parse Tree (Abstract Tree)

```

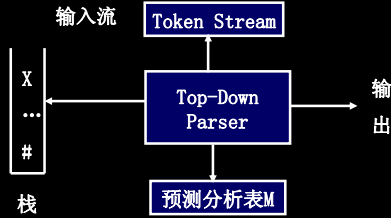
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    }
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}
else return(new TermNode(oldToken,NULL,NULL));
    
```

2 * 3 * 4

4.4 预测分析程序

- ❖ 构造预测分析表
- ❖ 采用预测分析表和栈实现递归下降分析程序
- ❖ 这种方法实现的语法分析程序又叫**预测分析程序**

4.5.1 预测分析程序工作过程



分析表格式

$E \rightarrow TE'$
 $E' \rightarrow +TE' \mid \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \epsilon$
 $F \rightarrow (E) \mid i$

	id	+	*	()	#
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

程序构成

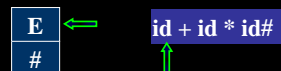
- ❖ **输入流**: 含有要分析的Token串, 右端有#
- ❖ **栈**: 栈底是#, 栈内是一系列文法符号。
开始时, #和S先进栈
- ❖ **分析表**: 二维数组 $M[A, a]$, 其中 $a \in V_T$; $A \in V_N$
- ❖ **动作**: 根据分析表内元素做规定的推导 (也可包括语义动作)

id+id*id分析过程示例

	id	+	*	()	#
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

输出:

开始:



预测分析程序的动作

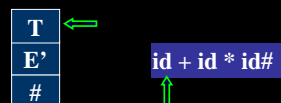
- ❖ 设X为当前栈顶符号, a为当前Token, 则
- ❖ 若 $X=a=\#$, 分析停止, 宣告成功地完成分析;
- ❖ 若 $X=a \neq \#$, 则X弹出栈, 输入指针移动一步;
- ❖ 若 $X \in V_N$, 则去查分析表M的元素 $M[X, a]$, 该元素或为以X为左部的产生式, 或为出错信息。
 - ❖ 如: $M[X, a]$ 为产生式 $X \rightarrow UVW$, 则X出栈, 且W、V、U依次进栈; 动作包括扩展语法树或输出产生式等。
 - ❖ 若 $M[X, a]$ 为错误信息, 则调用错误处理程序。

id+id*id分析过程示例

	id	+	*	()	#
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

输出:

$E \rightarrow TE'$



id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'		E'→ε	E'→ε	
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'	T'→ε	T'→ε	
F	F→id			F→(E)		

输出:
E->TE'
T->FT'

F

←

T'

←

E'

←

#

←

id + id * id#

↑

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'		E'→ε	E'→ε	
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'	T'→ε	T'→ε	
F	F→id			F→(E)		

输出:
E->TE'
T->FT'
F->id
T'->ε

E'

←

#

←

id + id * id#

↑

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'		E'→ε	E'→ε	
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'	T'→ε	T'→ε	
F	F→id			F→(E)		

输出:
E->TE'
T->FT'
F->id

id

←

T'

←

E'

←

#

←

id + id * id#

↑

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'		E'→ε	E'→ε	
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'	T'→ε	T'→ε	
F	F→id			F→(E)		

输出:
E->TE'
T->FT'
F->id
T'->ε
E'->+TE'

+

←

T

←

E'

←

#

←

id + id * id#

↑

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'		E'→ε	E'→ε	
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'	T'→ε	T'→ε	
F	F→id			F→(E)		

输出:
E->TE'
T->FT'
F->id

T'

←

E'

←

#

←

id + id * id#

↑

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'		E'→ε	E'→ε	
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'	T'→ε	T'→ε	
F	F→id			F→(E)		

输出:
E->TE'
T->FT'
F->id
T'->ε
E'->+TE'

T

←

E'

←

#

←

id + id * id#

↑

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'

id + id * id#

Stack: F, T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'

id + id * id#

Stack: *, F, T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id

id + id * id#

Stack: id, T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'

id + id * id#

Stack: F, T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id

id + id * id#

Stack: T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'
- F->id

id + id * id#

Stack: id, T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'
- F->id

id + id * id#

栈: T', E', #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'
- F->id
- T'->ε
- E'->ε

成功!

id + id * id#

栈: #

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'
- F->id
- T'->ε

id + id * id#

栈: E', #

观察

- ❖ 分析表项若为产生式，则为一个产生式，且含一个候选；
- ❖ 栈顶元素为终结符时弹出并与当前输入Token匹配；
- ❖ 栈顶元素为非终结符时，分析过程表现为用栈顶元素和当前输入Token查分析表项，并用查到的产生式右部进栈替代当前栈顶（可能有多次进栈）；
- ❖ 出错的情况：
 - ❖ 终结符不匹配
 - ❖ 表项是产生式以外情况

id+id*id分析过程示例

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'
- F->id
- T'->ε
- E'->ε

id + id * id#

栈: #

id+id*id分析过程示例

id + id * id # :

	id	+	*	()	#
E	E→TE'			E→TE'		
E'		E'→+TE'			E'→ε	E'→ε
T	T→FT'			T→FT'		
T'		T'→ε	T'→*FT'		T'→ε	T'→ε
F	F→id			F→(E)		

输出:

- E->TE'
- T->FT'
- F->id
- T'->ε
- E'->+TE'
- T->FT'
- F->id
- T'->*FT'
- F->id
- T'->ε
- E'->ε

4.5.2 构造预测分析表

- 给定文法G构造预测分析表M
- 对文法的每一个产生式 $A \rightarrow \alpha$,进行以下两步:
 - 对于任 $a \in \text{FIRST}(\alpha)$,把 $A \rightarrow \alpha$ 加进 $M[A, a]$
 - 若 $\epsilon \in \text{FIRST}(\alpha)$,则把 $A \rightarrow \alpha$ 加进 $M[A, b], b \in \text{FOLLOW}(A)$ 或者 $b = \#$;

Grammar rule	Pass 1	Pass 2	Pass 3	Pass 4
$E \rightarrow TE'$	$\text{Follow}(E) = \{\#\}$	$\text{Follow}(T) = \{+, -\}$	$\text{Follow}(T) = \{+, -, \#\}$	$\text{Follow}(E') = \{), \#\}$
$T \rightarrow FT'$		$\text{Follow}(F) = \{*, /\}$	$\text{Follow}(F) = \{*, /, +, -\}, \#\}$	$\text{Follow}(T') = \{+, -, \#\}$
$F \rightarrow (E)$	$\text{Follow}(E) = \{), \#\}$			
$F \rightarrow i$				
$T' \rightarrow *FT'$		$\text{Follow}(F) = \{*, /\}$	$\text{Follow}(F) = \{*, /, +, -\}, \#\}$	
$T' \rightarrow /FT'$		$\text{Follow}(F) = \{*, /\}$	$\text{Follow}(F) = \{*, /, +, -\}, \#\}$	
$T' \rightarrow \epsilon$				
$E' \rightarrow +TE'$		$\text{Follow}(T) = \{+, -\}$		
$E' \rightarrow -TE'$		$\text{Follow}(T) = \{+, -\}$		
$E' \rightarrow \epsilon$				

例1

- 已知文法G:
 - $E \rightarrow TE'$ $T' \rightarrow *FT' / FT' | \epsilon$
 - $E' \rightarrow +TE' | -TE' | \epsilon$ $F \rightarrow (E) | i$
 - $T \rightarrow FT'$
- 求G的每个候选式 α 的FIRST集;
- 求G的每个非终结符A的FOLLOW集;
- 构造文法G的预测分析表。

	(i)	+	-	*	/	#
E	$E \rightarrow TE'$	$E \rightarrow TE'$						
E'			$E' \rightarrow \epsilon$	$E' \rightarrow +TE'$	$E' \rightarrow -TE'$			$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$	$T \rightarrow FT'$						
T'			$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$	$T' \rightarrow /FT'$	$T' \rightarrow \epsilon$
F	$F \rightarrow (E)$	$F \rightarrow i$						

$\text{Follow}(E) = \{), \#\}$
 $\text{Follow}(T) = \{+, -, \#\}$
 $\text{Follow}(F) = \{*, /, +, -\}, \#\}$
 $\text{Follow}(E') = \{), \#\}$
 $\text{Follow}(T') = \{+, -, \#\}$

$\text{FIRST}(E) = \text{FIRST}(T) = \{+, -\}$
 $\text{FIRST}(F) = \{ (, i \}$
 $\text{FIRST}(E') = \{ +, -, \epsilon \}$
 $\text{FIRST}(T') = \{ *, /, \epsilon \}$

Grammar rule	Pass 1	Pass 2	Pass 3
$E \rightarrow TE'$			$\text{First}(E) = \{+, -\}$
$T \rightarrow FT'$		$\text{First}(T) = \{+, -\}$	
$F \rightarrow (E)$	$\text{First}(F) = \{ (\}$		
$F \rightarrow i$	$\text{First}(F) = \{+, -\}$		
$T' \rightarrow *FT'$	$\text{First}(T') = \{*\}$		
$T' \rightarrow /FT'$	$\text{First}(T') = \{*, /\}$		
$T' \rightarrow \epsilon$	$\text{First}(T') = \{*, /, \epsilon \}$		
$E' \rightarrow +TE'$	$\text{First}(E') = \{+\}$		
$E' \rightarrow -TE'$	$\text{First}(E') = \{+, -\}$		
$E' \rightarrow \epsilon$	$\text{First}(E') = \{+, -, \epsilon \}$		

例2

	s	;	#
Q	$Q \rightarrow SQ'$		
Q'	$Q' \rightarrow ;Q$		
Q'	$Q' \rightarrow \epsilon$		
S	$S \rightarrow s$		
Q'		$Q' \rightarrow ;Q$	$Q' \rightarrow \epsilon$

$\text{FIRST}(Q) = \{ s \}$ $\text{Follow}(Q) = \{ \# \}$
 $\text{FIRST}(S) = \{ s \}$ $\text{Follow}(S) = \{ ;, \# \}$
 $\text{FIRST}(Q') = \{ ;, \epsilon \}$ $\text{Follow}(Q') = \{ \# \}$

例3:

$S \rightarrow I \mid \text{other}$
 $I \rightarrow \text{if}(E)SL$
 $L \rightarrow \text{else } S \mid \epsilon$
 $E \rightarrow 0 \mid 1$

FIRST(S) = { if, other }
FIRST(I) = { if }
FIRST(L) = { else, ϵ }
FIRST(E) = { 0, 1 }

FOLLOW(S) = { #, else } **FOLLOW(I) = { #, else }**
FOLLOW(L) = { #, else } **FOLLOW(E) = { }**

	if	other	else	0	1	#
S	S→I	S→other				
I	I→if(E)SL					
L			L→else S L→ ϵ			L→ ϵ
E				E→0	E→1	

自上而下分析中的错误处理

- ❖ 尽快找出错误;
- ❖ 一旦发现错误后, 还能够继续分析下去;
- ❖ 尽量减少一个错误所导致的错误 (error cascade problem);
- ❖ 避免在错误上死循环。

出现错误的情形:

- ❖ 栈顶终结符与当前输入Token不匹配;
- ❖ 栈顶为非终结符A, 当前输入Token为a, 但M[A,a]为空。

Parsing Stack	Input	Action
#S	if(0)if(1)other else other#	S→I
#I	if(0)if(1)other else other#	I→if(E)SL
#LS)E(if	if(0)if(1)other else other#	match
#LS)E((0)if(1)other else other#	match
#LS)E	0)if(1)other else other#	E→0
#LS)0	0)if(1)other else other#	match
#LS))if(1)other else other#	match
#LS	if(1)other else other#	S→I
#LI	if(1)other else other#	I→if(E)SL
#LLS)E(if	if(1)other else other#	match
#LLS)E((1)other else other#	match
#LLS)E	1)other else other#	E→1
#LLS)1	1)other else other#	match
#LLS))other else other#	match
#LLS	other else other#	S→other
#Lother	other else other#	match
#LL	else other#	L→else S
#Lelse	else other#	match
#LS	other#	S→other
#Lother	other#	match
#L	#	L→ ϵ

错误处理的思想

- ❖ 栈顶为终结符
 - ❖ 弹出
- ❖ 栈顶为非终结符
 - ❖ 跳过输入Token流直至遇到同步符号集元素出现
- ❖ 同步符号集
 - ❖ FOLLOW(A)
 - ❖ FIRST(A)

4.6 LL(1)分析法小结

- ❖ **LL (1) 文法**
 - ❖ 分析表M不含多重定义入口
- ❖ **LL (1) 文法的条件**
 - ❖ 文法G是LL(1)的, 则对于G的每一个非终结符A的任何两个不同产生式 $A \rightarrow \alpha \mid \beta$, 有:
 - (1) $FIRST(\alpha) \cap FIRST(\beta) = \varnothing$
 - (2) 若 $\beta \Rightarrow^* \epsilon$, 则 $FIRST(\alpha) \cap FOLLOW(A) = \varnothing$

作业

- ❖ P81-82第四章习题1至5
- ❖ 上机题 (与下一章的上机题任选一个完成): 针对if语句的文法编写一个递归下降分析程序, 输出结果为抽象语法树。注意, if语句文法中的表达式E采用四则运算表达式的文法; 抽象语法树的格式自行设计, 如果需要降低难度的话, 也可用具体语法树而不用抽象语法树作为输出。