



The University of Sydney

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Human Research Ethics Committee

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28 October 2008

Professor A Welch
Faculty of Education and Social Work
Education Building - A35
The University of Sydney

Dear Professor Welch

Thank you for your correspondence dated 2 October 2008 addressing comments made to you by the Human Research Ethics Committee (HREC). After considering the additional information, the Executive Committee at its meeting on **21 October 2008** approved your protocol entitled **"The Chinese Knowledge Diaspora and the Development of Chinese Research Universities: A Case Study of "the 111 project"."**

Details of the approval are as follows:

Ref No.: **10-2008/11280**

Approval Period: **31 October 2008 to 31 October 2009**

Authorised Personnel: **Professor A Welch**
Mr H Cai

The HREC is a fully constituted Ethics Committee in accordance with the *National Statement on Ethical Conduct in Research Involving Humans-March 2007* under Section 5.1.29

The approval of this project is **conditional** upon your continuing compliance with the *National Statement on Ethical Conduct in Research Involving Humans*. We draw to your attention the requirement that a report on this research must be submitted every 12 months from the date of the approval or on completion of the project, whichever occurs first. Failure to submit reports will result in withdrawal of consent for the project to proceed.

Chief Investigator / Supervisor's responsibilities to ensure that:

- (1) All serious and unexpected adverse events should be reported to the HREC as soon as possible.
- (2) All unforeseen events that might affect continued ethical acceptability of the project should be reported to the HREC as soon as possible.
- (3) The HREC must be notified as soon as possible of any changes to the protocol. All changes must be approved by the HREC before continuation of the research project. These include:-
 - If any of the investigators change or leave the University.
 - Any changes to the Participant Information Statement and/or Consent Form.
- (4) All research participants are to be provided with a Participant Information Statement and Consent Form, unless otherwise agreed by the Committee. The Participant Information Statement and Consent Form are to be on University of Sydney letterhead and include the full title of the research project and telephone contacts for the researchers, unless otherwise agreed by the Committee and the following statement must appear on the bottom of the Participant Information Statement. *Any person with concerns or complaints about the conduct of a research study can contact the Senior Ethics Officer, University of Sydney, on (02) 9351 4811 (Telephone); (02) 9351 6706 (Facsimile) or gbriody@usyd.edu.au (Email).*
- (5) Copies of all signed Consent Forms must be retained and made available to the HREC on request.
- (6) It is your responsibility to provide a copy of this letter to any internal/external granting agencies if requested.
- (7) The HREC approval is valid for four (4) years from the Approval Period stated in this letter. Investigators are requested to submit a progress report annually.
- (8) A report and a copy of any published material should be provided at the completion of the Project.

Yours sincerely



Dr P Beale
Chairman
Human Research Ethics Committee

cc: Mr. H. Cai, Faculty of Education and Social Work, Education Building - A35
The University of Sydney

Encl. Approved Participant Information Statement - Questionnaire
Approved Participant Information Statement - Interview
Approved Participant Consent Form - Questionnaire
Approved Participant Consent Form - Interview
Approved Questionnaire I (English)
Approved Questionnaire I (Chinese)

Approved Questionnaire II (English)
Approved Questionnaire II (Chinese)
Approved Questionnaire III (English)
Approved Questionnaire III (Chinese)
Approved Questionnaire IV (English)
Approved Questionnaire IV (Chinese)
Approved Interview Schedule for Overseas Chinese Scholars (English)
Approved Interview Schedule for Overseas Chinese Scholars (Chinese)
Approved Interview Schedule for Overseas Non-Chinese Scholars (English)
Approved Interview Schedule for Overseas Non-Chinese Scholars (Chinese)
Approved Interview Schedule for Domestic Chinese Scholars (English)
Approved Interview Schedule for Domestic Chinese Scholars (Chinese)
Approved Interview Schedule for Domestic University Administrators (English)
Approved Interview Schedule for Domestic University Administrators (Chinese)
Approved Notice for Recruiting Participants (English)
Approved Notice for Recruiting Participants (Chinese)
Approved Letter of Invitation (English)
Approved Letter of Invitation (Chinese)