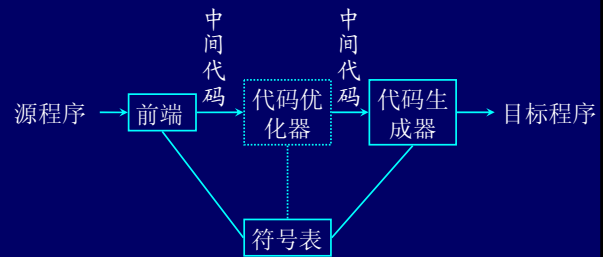


第十章 代码生成与优化概述

- 概述
- 代码生成的基本概念
- 基本块的优化（局部优化）
- 寄存器分配与指派
- 流图中的循环

10.1 概述



Basic Block Optimizations

- Common Sub-Expression Elimination 删除公共子表达式
 - ⊗ $a = (x+y)+z; b = x+y;$
 - ⊗ $t = x+y; a = t+z; b = t;$
- Constant Propagation 常数传播/常量折叠
 - ⊗ $x = 5; b = x+y;$
 - ⊗ $b = 5+y;$
- Algebraic Identities 代数恒等式/代数化简
 - ⊗ $a = x * 1;$
 - ⊗ $a = x;$

Basic Block Optimizations

- Copy Propagation 复写传播
 - ⊗ $a = x+y; b = a; c = b+z;$
 - ⊗ $a = x+y; b = a; c = a+z;$
- Dead Code Elimination 删除无用代码
 - ⊗ $a = x+y; b = a; c = a+z;$
 - ⊗ $a = x+y; c = a+z$
- Strength Reduction 强度削弱
 - ⊗ $t = i * 4;$
 - ⊗ $t = i << 2;$

循环优化

- 代码外提
- 删除归纳变量
- 强度削弱*

代码生成器设计中的问题

- 代码生成器的输入
- 目标程序
- 存储管理
- 指令选择
- 寄存器分配
- 计算次序的选择
- 代码生成方法

代码生成器的输入

- 源程序的中间表示
 - ⊗ 可有多种表示方法
 - ⊗ 名字的值可为目标机器直接操作
 - ⊗ 已完成必要的类型检查，插入了类型转换操作
 - ⊗ 一般没有语义错误
- 符号表信息
 - ⊗ 中间表示中名字所代表的数据对象的运行时地址

目标程序

- 绝对机器语言
 - ⊗ 可立即执行
- 可重定位的机器语言
 - ⊗ 可分块编译，并链接
- 汇编代码
 - ⊗ 代码生成容易

寄存器分配

- 在寄存器分配期间，在程序的某一点选择要驻留在寄存器中的变量集
- 在随后的寄存器指派阶段，挑出变量将要驻留的具体机器
- 选择最优的寄存器指派方案是NP完全的
- 目标机器对寄存器使用的某些约定使分配更复杂

计算次序的选择

- 计算执行的次序影响目标代码效率
- 也会影响使用寄存器的多寡
- 选择最佳次序也是NP完全问题

10.2 代码生成基本概念

- [CS164: Programming Languages and Compilers, Spring 2008](#)
- University of Berkeley

- 6.035 Computer Language Engineering (SMA 5502) Fall 2005
- [MIT OpenCourseWare](#)

定义：基本块

- A *basic block* is a maximal sequence of instructions with:
 - ⊗ no labels (except at the first instruction), and
 - ⊗ no jumps (except in the last instruction)
- 基本块是具有如下性质的指令序列
 - ⊗ 基本块的中间不会有分支转出
 - ⊗ 也没有转入到基本块中间的分支
 - ⊗ 基本块应当是最大化的
- 基本块的执行是从它的第一条指令开始

Idea about Basic Blocks

- Cannot jump in a basic block (except at beginning)
- Cannot jump out of a basic block (except at end)
- Each instruction in a basic block is executed after all the preceding instructions have been executed

Basic Block Example

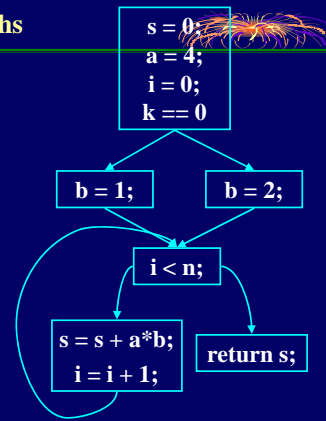
- Consider the basic block
 1. L:
 2. $t := 2 * x$
 3. $w := t + x$
 4. if $w > 0$ goto L'
- No way for (3) to be executed without (2) having been executed right before
 - ⊗ We can change (3) to $w := 3 * x$
 - ⊗ Can we eliminate (2) as well?

定义. 控制流图

- A control-flow graph is a directed graph with
 - ⊗ Basic blocks as nodes
 - ⊗ An edge from block A to block B if the execution can flow from the last instruction in A to the first instruction in B
 - ⊗ 例. A中最后一条指令是 $\text{jump } L_B$
 - ⊗ 例. 从块A到块B的执行可能不成功
- 通常缩写为 CFG

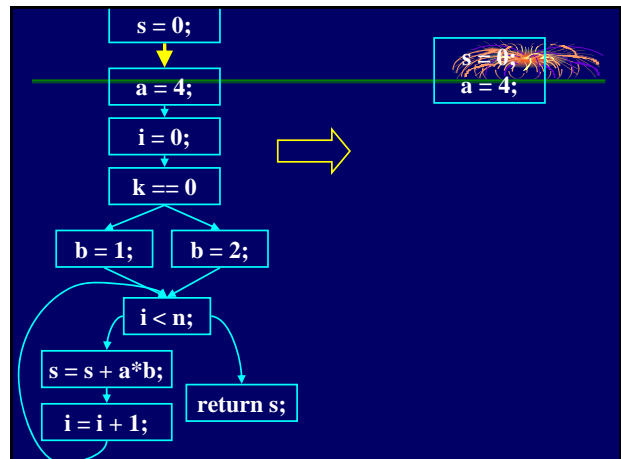
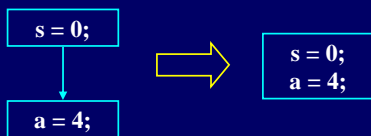
Control Flow Graphs

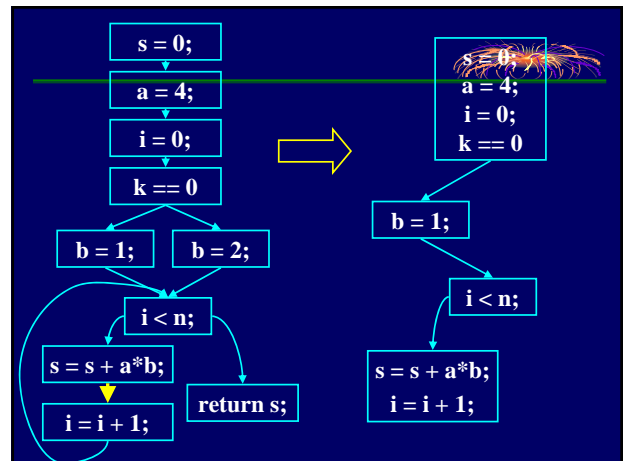
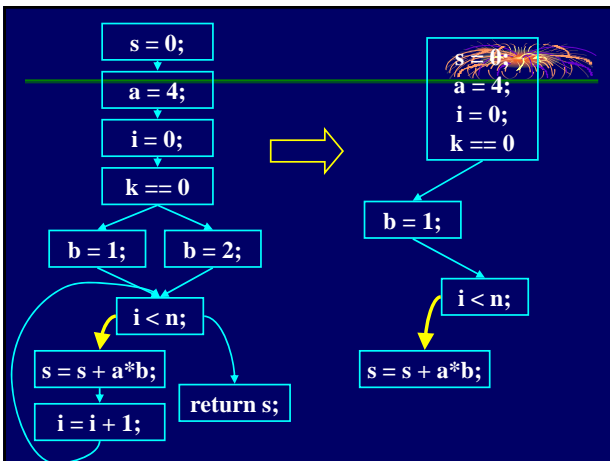
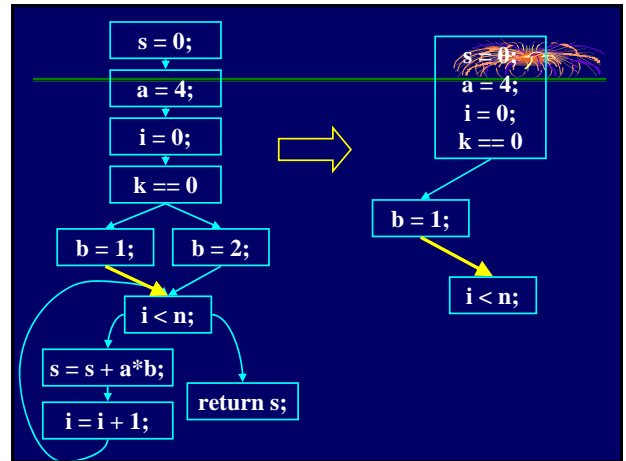
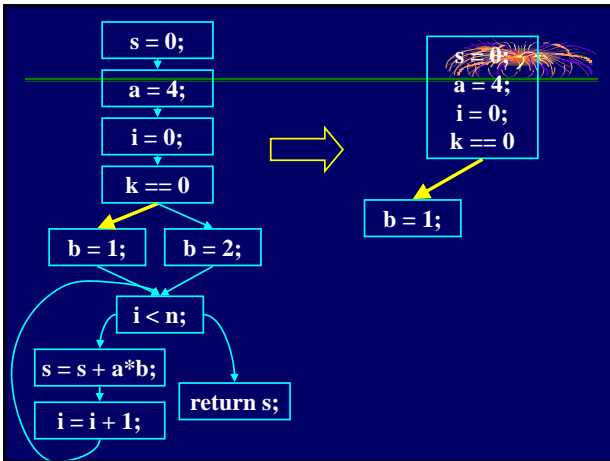
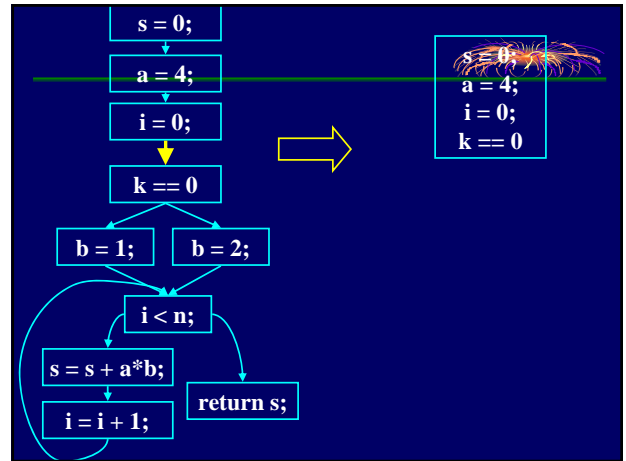
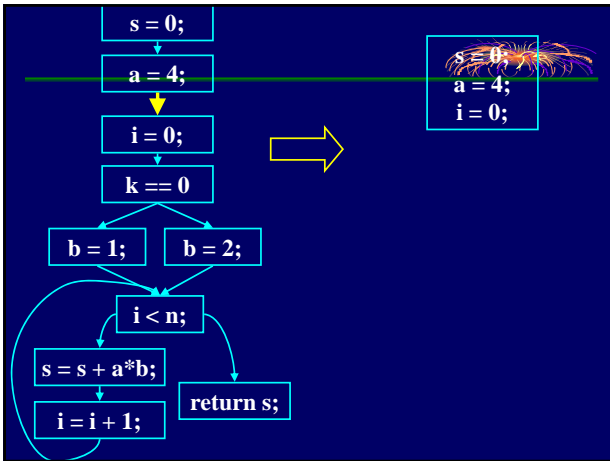
```
int add(n, k) {
    s = 0; a = 4; i = 0;
    if (k == 0) b = 1;
    else b = 2;
    while (i < n) {
        s = s + a*b;
        i = i + 1;
    }
    return s;
}
```

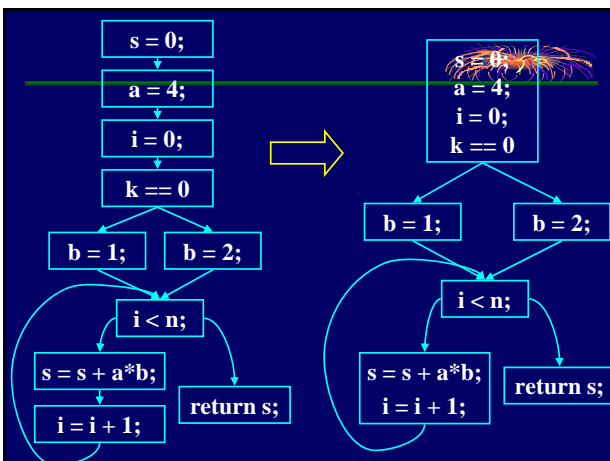
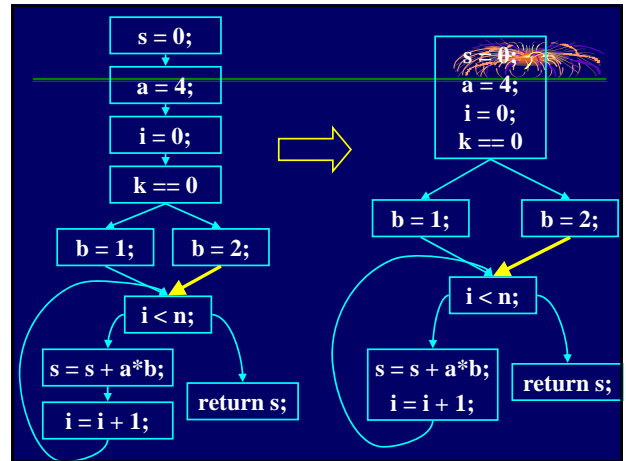
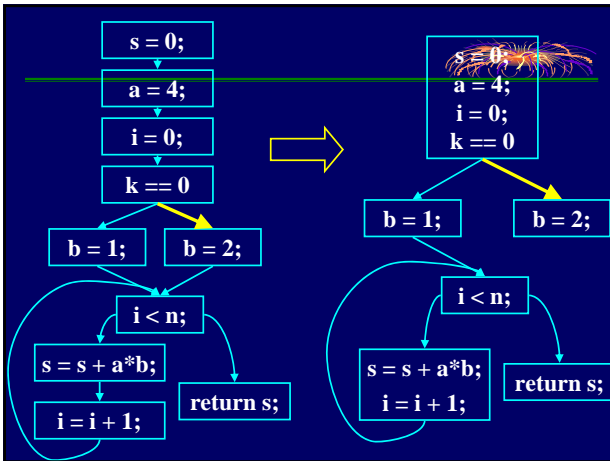
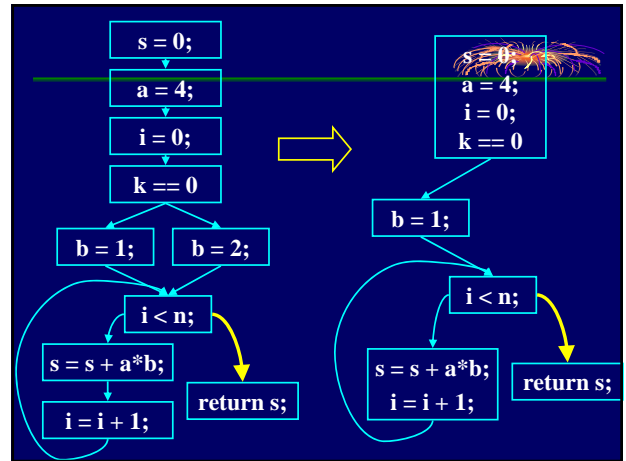
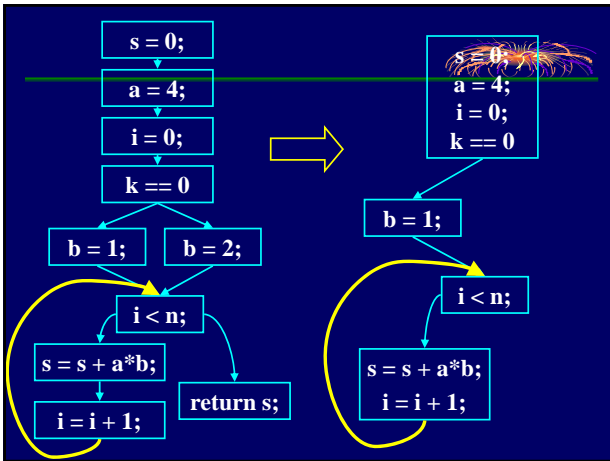


Basic Block Construction

- Start with instruction control-flow graph指令级的CFG
- Visit all edges in graph
- Merge adjacent nodes if
 - ⊗ Only one edge from first node
 - ⊗ Only one edge into second node







Optimization Overview

- Optimization seeks to improve a program's utilization of some resource 优化试图改善程序对某资源的利用
 - ⊗ Execution time (most often)
 - ⊗ Code size
 - ⊗ Network messages sent
 - ⊗ Battery power used, etc.
- Optimization should not alter what the program computes 优化不该改变程序功能
 - ⊗ The answer must still be the same

优化的分类

- For languages like C and Cool there are three granularities of optimizations按粒度来分
 1. Local optimizations
 - Apply to a basic block in isolation
 2. Global optimizations
 - Apply to a control-flow graph (method body) in isolation
 3. Inter-procedural optimizations
 - Apply across method boundaries
- Most compilers do (1), many do (2) and very few do (3)

Cost of Optimizations

- 实际中, a conscious decision is made not to implement the fanciest optimization known
- Why?
 - ⊗ Some optimizations are hard to implement
 - ⊗ Some optimizations are costly in terms of compilation time
 - ⊗ The fancy optimizations are both hard and costly
- The goal: maximum improvement with minimum of cost

Local Optimizations

- The simplest form of optimizations
- No need to analyze the whole procedure body
 - ⊗ Just the basic block in question
- Example: algebraic simplification

Algebraic Simplification

- Some statements can be deleted
 - $x := x + 0$
 - $x := x * 1$
- Some statements can be simplified
 - $x := x * 0 \quad \Rightarrow \quad x := 0$
 - $y := y ** 2 \quad \Rightarrow \quad y := y * y$
 - $x := x * 8 \quad \Rightarrow \quad x := x \ll 3$
 - $x := x * 15 \quad \Rightarrow \quad t := x \ll 4; x := t - x$
- (on some machines \ll is faster than $*$; but not on all!)

Constant Folding常量折叠

- Operations on constants can be computed at compile time
- In general, if there is a statement
 - $x := y \text{ op } z$
 - ⊗ And y and z are constants
 - ⊗ Then $y \text{ op } z$ can be computed at compile time
- Example: $x := 2 + 2 \Rightarrow x := 4$
- Example: if $2 < 0$ jump L can be deleted

控制流优化

- Eliminating unreachable code:
 - ⊗ Code that is unreachable in the control-flow graph
 - ⊗ Basic blocks that are not the target of any jump or "fall through" from a conditional
 - ⊗ Such basic blocks can be eliminated
- Why would such basic blocks occur?
- Removing unreachable code makes the program smaller
 - ⊗ And sometimes also faster, due to memory cache effects (increased spatial locality)

Single Assignment Form

- Some optimizations are simplified if each assignment is to a temporary that has not appeared already in the basic block 变量只定值一次
- Intermediate code can be rewritten to be in single assignment form

```

x := a + y      x := a + y
a := x          =>  a1 := x
x := a * x      x1 := a1 * x
b := x + a      b := x1 + a1
                (x1 and a1 are fresh temporaries)
    
```

Common Subexpression Elimination

- Assume
 - Basic block is in *single assignment form*
- All assignments with same rhs compute the same value
- Example:


```

x := y + z      x := y + z
...             => ...
w := y + z      w := x
    
```
- Why is single assignment important here?

Copy Propagation

- If `w := x` appears in a block, all subsequent uses of `w` can be replaced with uses of `x`
- 例:


```

b := z + y      b := z + y
a := b          =>  a := b
x := 2 * a      x := 2 * b
    
```
- This does not make the program smaller or faster but might enable other optimizations
 - Constant folding
 - Dead code elimination
- Again, single assignment is important here.

Copy Propagation and Constant Folding

- Example:


```

a := 5          a := 5
x := 2 * a      =>  x := 10
y := x + 6      y := 16
t := x * y      t := x << 4
    
```

Dead Code Elimination

- If `w := rhs` appears in a basic block and `w` does not appear anywhere else in the program
- Then the statement `w := rhs` is dead and can be eliminated
- Dead = does not contribute to the program's result
- Example: (a is not used anywhere else)

```

x := z + y      b := z + y      b := z + y
a := x          =>  a := b          =>  x := 2 * b
x := 2 * a      x := 2 * b
    
```

Applying Local Optimizations

- Each local optimization does very little by itself
- Typically optimizations interact
 - Performing one optimizations enables other opt.
- Typical optimizing compilers repeatedly perform optimizations until no improvement is possible
 - The optimizer can also be stopped at any time to limit the compilation time

An Example

■ Initial code:

```

a := x ** 2
b := 3
c := x
d := c * c
e := b * 2
f := a + d
g := e * f
    
```

■ Algebraic optimization:

```

a := x ** 2
b := 3
c := x
d := c * c
e := b * 2
f := a + d
g := e * f
    
```

■ Algebraic optimization:

```

a := x * x
b := 3
c := x
d := c * c
e := b + b
f := a + d
g := e * f
    
```

■ Copy propagation:

```

a := x * x
b := 3
c := x
d := c * c
e := b + b
f := a + d
g := e * f
    
```

■ Copy propagation:

```

a := x * x
b := 3
c := x
d := x * x
e := 3 + 3
f := a + d
g := e * f
    
```

■ Constant folding:

```

a := x * x
b := 3
c := x
d := x * x
e := 3 + 3
f := a + d
g := e * f
    
```


■ Constant folding:

```

a := x * x
b := 3
c := x
d := x * x
e := 6
f := a + d
g := e * f
    
```

■ Common subexpression elimination:

```

a := x * x
b := 3
c := x
d := x * x
e := 6
f := a + d
g := e * f
    
```

■ Common subexpression elimination:

```

a := x * x
b := 3
c := x
d := a
e := 6
f := a + d
g := e * f
    
```

■ Copy propagation:

```

a := x * x
b := 3
c := x
d := a
e := 6
f := a + d
g := e * f
    
```

■ Copy propagation:


```

a := x * x
b := 3
c := x
d := a
e := 6
f := a + a
g := 6 * f
    
```

■ Dead code elimination:


```

a := x * x
b := 3
c := x
d := a
e := 6
f := a + a
g := 6 * f
    
```




- Dead code elimination:
 - a := x * x

 - f := a + a
 - g := 6 * f
- This is the final form




Peephole Optimizations on Assembly Code

- The optimizations presented before work on intermediate code
 - ⊗ They are target independent
 - ⊗ But they can be applied on assembly language also
- *Peephole optimization* is an effective technique for improving assembly code 窥孔优化
 - ⊗ The "peephole" is a short sequence of (usually contiguous) instructions
 - ⊗ The optimizer replaces the sequence with another equivalent (but faster) one



Peephole Optimizations (Cont.)


- Write peephole optimizations as replacement rules
 - $i_1, \dots, i_n \rightarrow j_1, \dots, j_m$
 - where the rhs is the improved version of the lhs
- Examples:
 - move \$a \$b, move \$b \$a → move \$a \$b
 - ⊗ Works if move \$b \$a is not the target of a jump
 - addiu \$a \$b k, lw \$c (\$a) → lw \$c k(\$b)
 - ⊗ Works if \$a not used later (is "dead")



MIPS指令


- `addiu d,s,const`
- # \$d ← s + const.
- # Const is 16-bit two's comp. sign-extended to 32 bits
- # when the addition is done. No overflow trap.

- `lw register_destination, RAM_source`
- #copy word (4 bytes) at source RAM location to destination register.



Peephole Optimizations (Cont.)

- Many (but not all) of the basic block optimizations can be cast as peephole optimizations
 - ⊗ Example: `addiu $a $b 0` → `move $a $b`
 - ⊗ Example: `move $a $a` →
 - ⊗ These two together eliminate `addiu $a $a 0`
- Just like for local optimizations, peephole optimizations need to be applied repeatedly to get maximum effect



Local Optimizations. Notes.

- Intermediate code is helpful for many optimizations
- Many simple optimizations can still be applied on assembly language

Local Optimizations. Notes (II).

- Serious problem: what to do with pointers?
 - ⊗ *t may change even if local variable t does not: *Aliasing*
 - ⊗ Arrays are a special case (address calculation)
- What to do about globals?
- What to do about calls?
 - ⊗ Not exactly jumps, because they (almost) always return.
 - ⊗ Can modify variables used by caller
- Next: global optimizations

10.3 寄存器分配与指派

- 给目标程序中的具体值分配某些寄存器
 - ⊗ 如：基地址分配一组；算术运算一组；栈指针分配一个固定寄存器等
- 全局寄存器分配
 - ⊗ 将寄存器分配给频繁使用的基本块间的活跃变量
 - ⊗ 将循环中经常使用的值保存在固定的寄存器中
 - ⊗ 语言中的寄存器变量让程序员直接执行寄存器分配操作

图染色法寄存器分配

- Outline
- What is register allocation
- Webs
- 干涉图Interference Graphs
- 图着色Graph coloring
- 溢出Spilling
- 分裂Splitting
- More optimizations (略)
- 本节内容来自 6.035 ©MIT Fall 1999

Storing values between def and use

- Program computes with values
 - ⊗ value definitions (where computed)
 - ⊗ value uses (where read to compute new values)
- Values must be stored between def and use
- First Option
 - ⊗ store each value in memory at definition
 - ⊗ retrieve from memory at each use
- Second Option
 - ⊗ store each value in register at definition
 - ⊗ retrieve value from register at each use

Issues

- On a typical RISC architecture
 - ⊗ All computation takes place in registers
 - ⊗ Load instructions and store instructions transfer values between memory and registers
- Add two numbers, values in memory
 - ⊗ load r1, 4(sp)
 - ⊗ load r2, 8(sp)
 - ⊗ add r3,r1,r2
 - ⊗ store r3, 12(sp)

Issues

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Issues

- On a typical RISC architecture
 - ⊗ All computation takes place in registers
 - ⊗ Load instructions and store instructions transfer values between memory and registers
- Add two numbers, values in registers
 - ⊗ `add r3,r1,r2`

Issues

- Fewer instructions when using registers
 - ⊗ Most instructions are register-to-register
 - ⊗ Additional instructions for memory accesses
- Registers are faster than memory
 - ⊗ wider gap in faster, newer processors
 - ⊗ Factor of about 4 bandwidth, factor of about 3 latency
 - ⊗ Could be bigger if program characteristics were different
- But only a small number of registers available
 - ⊗ Usually 32 integer and 32 floating-point registers
 - ⊗ Some of those registers have fixed users (`r0`, `ra`, `sp`, `fp`)

Register Allocation

- Deciding which values to store in limited number of registers
- Register allocation has a direct impact on performance
 - ⊗ Affects almost every statement of the program
 - ⊗ Eliminates expensive memory instructions
 - ⊗ # of instructions goes down due to direct manipulation of registers (no need for load and store instructions)
 - ⊗ Probably is the optimization with the most impact!

What can be put in a register?

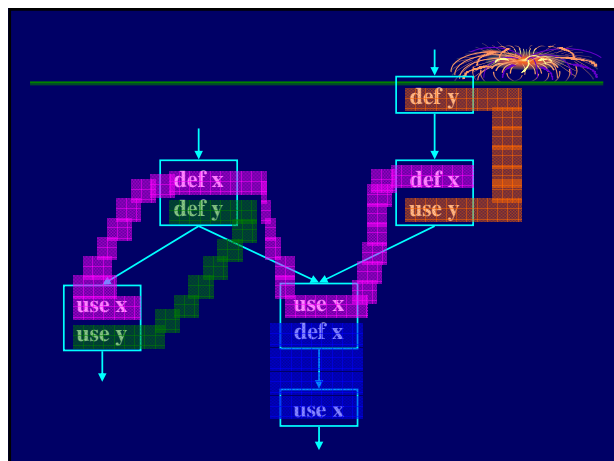
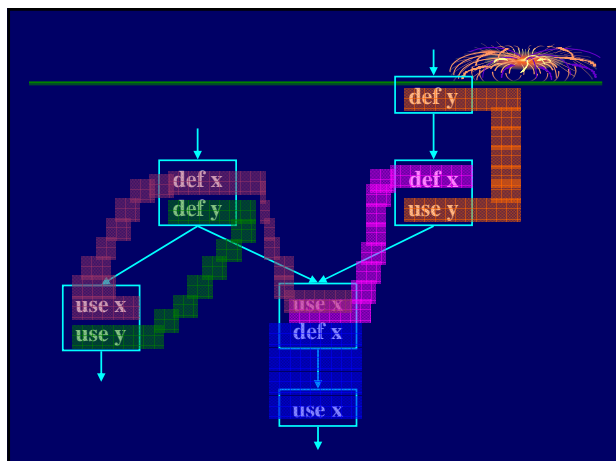
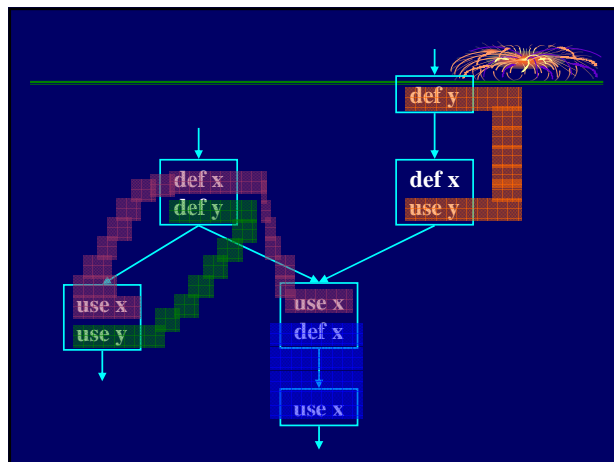
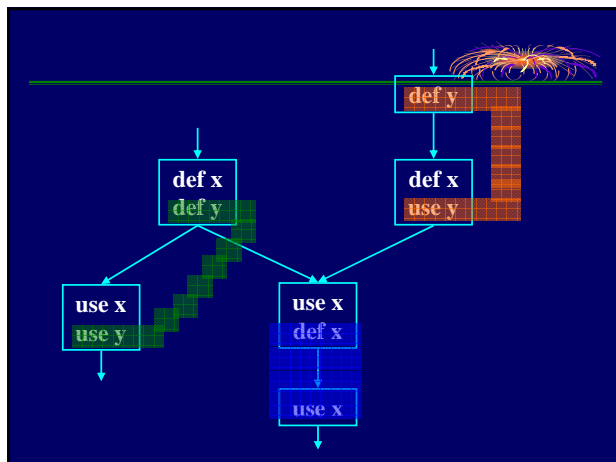
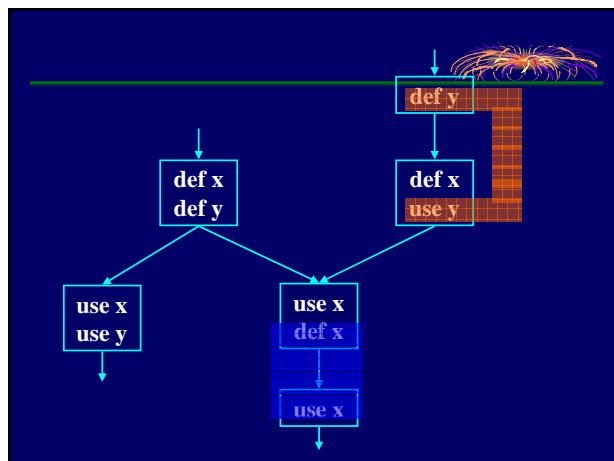
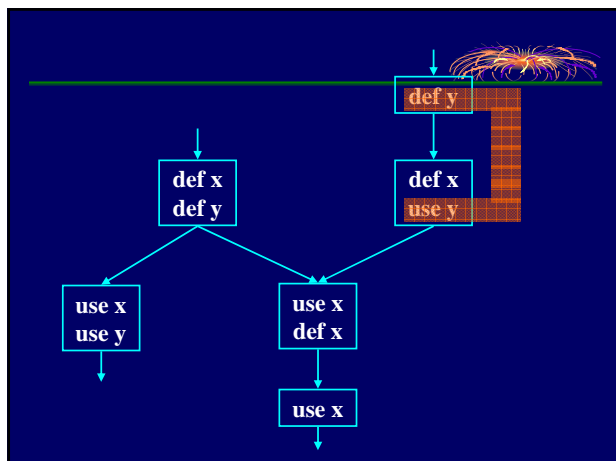
- Values stored in compiler-generated temps
- Language-level values
 - ⊗ Values stored in local scalar variables
 - ⊗ Big constants
 - ⊗ Values stored in array elements and object fields
- Issue: alias analysis
- Register set depends on the data-type
 - ⊗ floating-point values in floating point registers
 - ⊗ integer and pointer values in integer registers

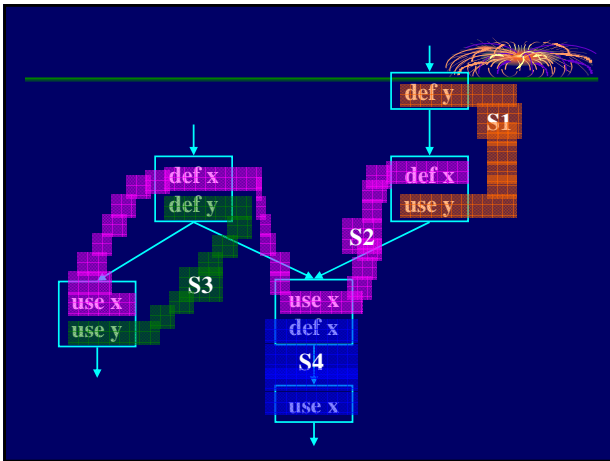
Web-Based Register Allocation

- Determine live ranges for each value (*web*)
- Determine overlapping ranges (interference)
- Compute the benefit of keeping each web in a register (spill cost)
- Decide which webs get a register (allocation)
- Split webs if needed (spilling and splitting)
- Assign hard registers to webs (assignment)
- Generate code including spills (code gen)

Webs

- Starting Point: def-use chains (DU chains)
 - ⊗ Connects definition to all reachable uses
- Conditions for putting defs and uses into same web
 - ⊗ Def and all reachable uses must be in same web
 - ⊗ All defs that reach same use must be in same web
- Use a union-find algorithm





Webs

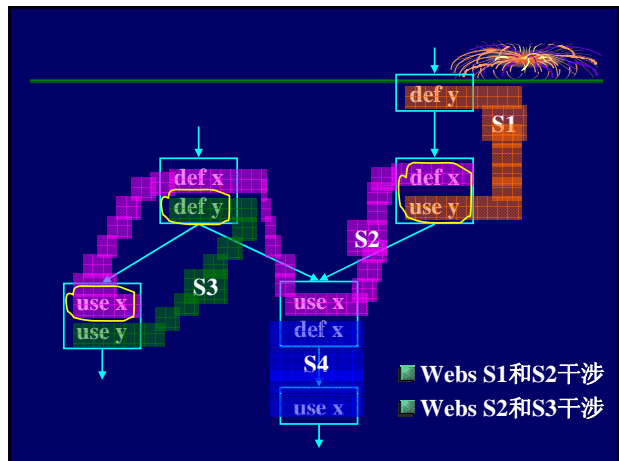
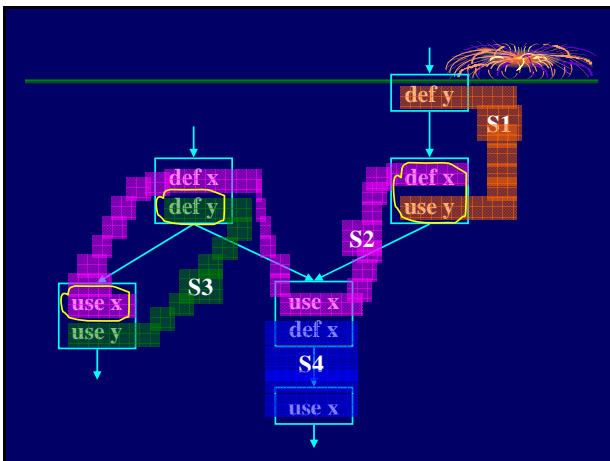
- Web is unit of register allocation
- If web allocated to a given register R
 - ⊗ All definitions computed into R
 - ⊗ All uses read from R
- If web allocated to a memory location M
 - ⊗ All definitions computed into M
 - ⊗ All uses read from M
- Issue: instructions compute only from registers
- Reserve some registers to hold memory values

Convex Sets and Live Ranges

- Concept of convex set
 - A set S is convex if
 - ⊗ A, B in S and C is on a path from A to B implies
 - ⊗ C is in S
- Concept of live range of a web
 - ⊗ Minimal convex set of instructions that includes all defs and uses in web
 - ⊗ Intuitively, region in which web's value is live

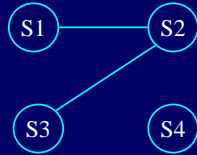
Interference

- Two webs interfere if their live ranges overlap (have a nonempty intersection)
- If two webs interfere, values must be stored in different registers or memory locations
- If two webs do not interfere, can store values in same register or memory location



Interference Graph

- Representation of webs and their interference
 - ⊗ Nodes are the webs
 - ⊗ An edge exists between two nodes if they interfere



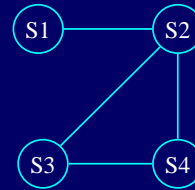
Register Allocation Using Graph Coloring

- Each web is allocated a register
 - ⊗ each node gets a register (color)
- If two webs interfere they cannot use the same register
 - ⊗ if two nodes have an edge between them, they cannot have the same color

Graph Coloring

- Assign a color to each node in graph
- Two nodes connected to same edge must have different colors
- Classic problem in graph theory
- NP complete
 - ⊗ But good heuristics exist for register allocation

例. 图着色

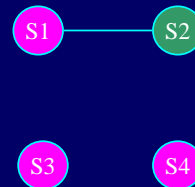


例. 图着色



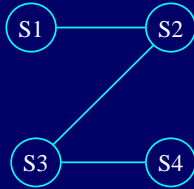
- 1个颜色

例. 图着色



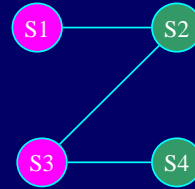
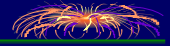
- 2个颜色

例. 图着色



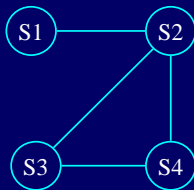
■ 2个颜色

例. 图着色



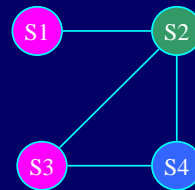
■ 2个颜色

例. 图着色



■ 3个颜色

例. 图着色



■ 3个颜色

溢出



- Option 1
 - ⊗ Pick a web and allocate value in memory
 - ⊗ All defs go to memory, all uses come from memory
- Option 2
 - ⊗ Split the web into multiple webs
- In either case, will retry the coloring

Which web to pick?



- One with interference degree $\geq N$
- One with minimal spill cost (cost of placing value in memory rather than in register)
- What is spill cost?
 - ⊗ Cost of extra load and store instructions

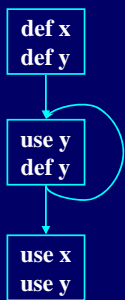
Ideal and Useful Spill Costs

- Ideal spill cost -dynamic cost of extra load and store instructions. Can't expect to compute this.
 - ⊗ Don't know which way branches resolve
 - ⊗ Don't know how many times loops execute
 - ⊗ Actual cost may be different for different executions
- Solution: Use a static approximation
 - ⊗ profiling can give instruction execution frequencies or use heuristics based on structure of control flow graph

One Way to Compute Spill Cost

- Goal: give priority to values used in loops
- So assume loops execute 10 or 8 times
- Spill cost =
 - ⊗ sum over all def sites of cost of a store instruction times 10 to the loop nesting depth power, plus
 - ⊗ sum over all use sites of cost of a load instruction times 10 to the loop nesting depth power
- Choose the web with the lowest spill cost

Spill Cost Example

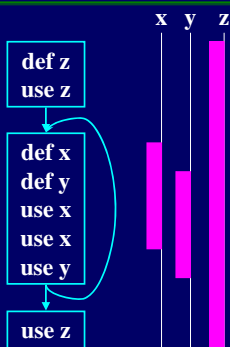


- Spill Cost For x
 - ⊗ storeCost +loadCost
- Spill Cost For y
 - ⊗ 9*storeCost +9*loadCost
- With 1 Register, Which Variable Gets Spilled?

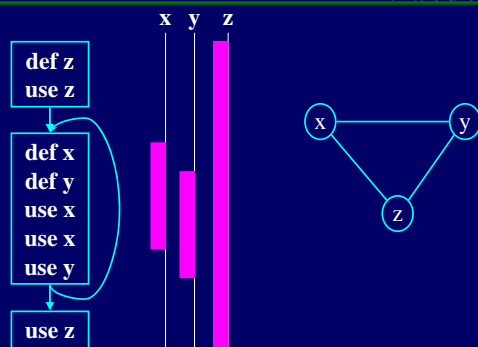
Splitting Rather Than Spilling

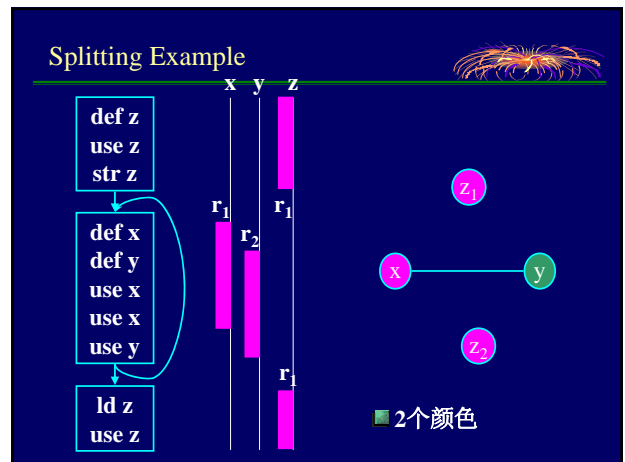
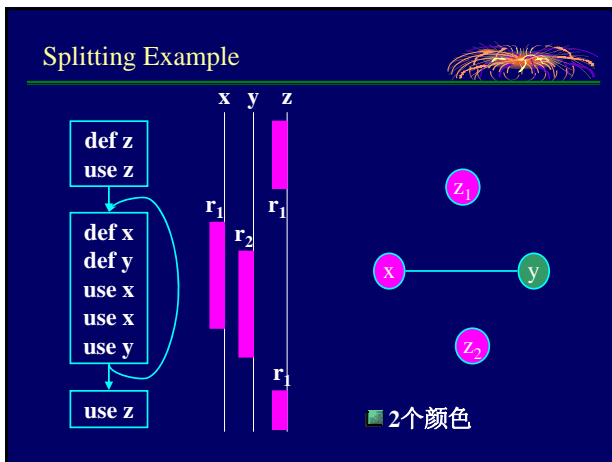
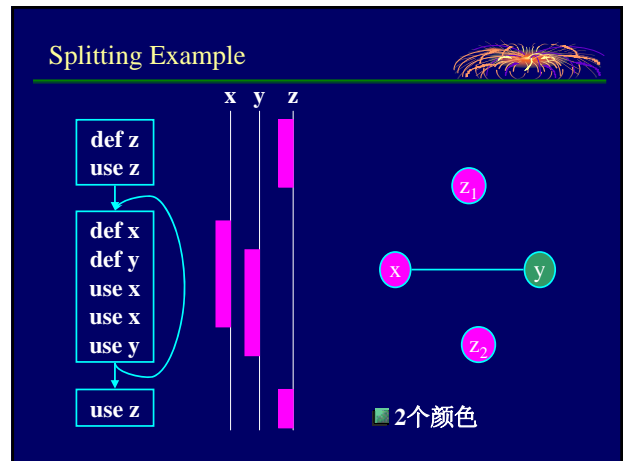
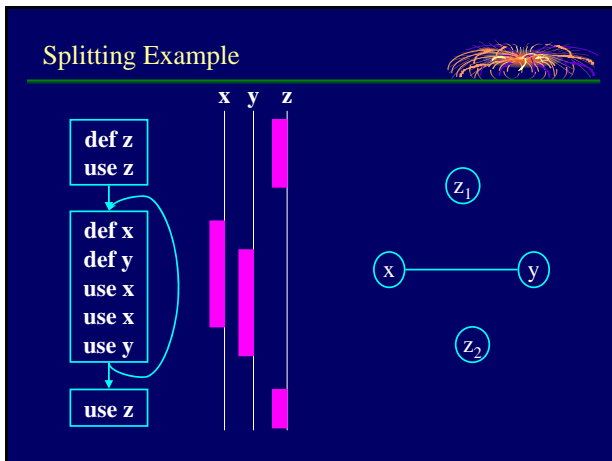
- Split the web
 - ⊗ Split a web into multiple webs so that there will be less interference in the interference graph making it N-colorable
 - ⊗ Spill the value to memory and load it back at the points where the web is split

Splitting Example



Splitting Example





- ### Cost and benefit of splitting
- Cost of splitting a node
 - ⊗ Proportion to number of times splitted edge has to be crossed dynamically
 - ⊗ Estimate by its loop nesting
 - Benefit
 - ⊗ Increase colorability of the nodes the splitted web interferes with
 - ⊗ Can approximate by its degree in the interference graph
 - Greedy heuristic
 - ⊗ pick the live-range with the highest benefit-to-cost ration to spill

- ### Control Flow Analysis
- CFG, 有向图, 结点表示基本块, 弧表示控制流
 - 每个结点有一个直接前驱结点集, 有一个直接后继结点集

Data Flow Analysis

■ 令

- ⊗ $in(b_i)$ 为到达基本块 b_i 时的活跃变量集
 - ⊗ $out(b_i)$ 为离开基本块 b_i 时的活跃变量集
 - ⊗ $def(b_i)$ 为在基本块 b_i 中定值（赋值）的变量集
 - ⊗ $use(b_i)$ 为在基本块 b_i 中引用的变量集
- $def(b_i)$ 和 $use(b_i)$ 与 $in(b_i)$ 和 $out(b_i)$ 的关系如下:

$$in(b_i) = use(b_i) \cup (out(b_i) \setminus def(b_i))$$

$$out(b_i) = \bigcup_{x \in succ(b_i)} in(x)$$